

# A few exceptional algebras

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# Outline

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- 1 Kac's 10-dimensional Jordan superalgebra and an exceptional simple modular Lie superalgebra
- 2 Composition superalgebras and more exceptional simple Lie superalgebras
- 3 From algebras to superalgebras via tensor categories

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# Classification of the simple Jordan superalgebras

V. Kac obtained in 1977 the classification of the simple finite-dimensional simple Jordan algebras, over algebraically closed fields of characteristic zero, from his classification of simple Lie superalgebras.



V. Kac

*Classification of simple  $\mathbb{Z}$ -graded Lie superalgebras and simple Jordan superalgebras.*

*Communications in Algebra* **5** (1977), no. 13, 1375-1400.

## The exceptional Jordan superalgebra of Kac: $K_{10}$

There appeared an exceptional Jordan superalgebra of dimension  $10 = 6 + 4$ :  $K_{10}$ , related to the simple exceptional Lie superalgebra  $F(4)$ .

$K_{10}$  is a simple Jordan superalgebra over any field of characteristic  $\neq 2, 3$ .

McCrimmon showed in 2005 that  $K_{10}$  is **even more exceptional** in characteristic 5:

Over a field of characteristic 5,  $K_{10}$  satisfies the super-version of the Cayley-Hamilton equation of degree 3.

## Tits construction (1966)

- $\mathcal{C}$  a composition algebra (analogue of real numbers, complex numbers, quaternions and octonions),
- $\mathcal{J}$  a central simple Jordan algebra satisfying the Cayley-Hamilton equation of degree 3,

then

$$\mathcal{T}(\mathcal{C}, \mathcal{J}) = \mathfrak{der}(\mathcal{C}) \oplus (\mathcal{C}_0 \otimes \mathcal{J}_0) \oplus \mathfrak{der}(\mathcal{J})$$

is a Lie algebra ( $\text{char} \neq 2, 3$ ) under a suitable Lie bracket:

$$[a \otimes x, b \otimes y] = \frac{1}{3} \text{tr}(xy) D_{a,b} + \left( [a, b] \otimes \left( xy - \frac{1}{3} \text{tr}(xy) 1 \right) \right) + 2t(ab) d_{x,y}.$$

# Freudenthal Magic Square

$\mathcal{T}(\mathcal{C}, \mathcal{J})$	$H_3(\mathbb{F})$	$H_3(\mathcal{K})$	$H_3(\mathcal{Q})$	$H_3(\mathcal{C})$
$\mathbb{F}$	$A_1$	$A_2$	$C_3$	$F_4$
$\mathcal{K}$	$A_2$	$A_2 \oplus A_2$	$A_5$	$E_6$
$\mathcal{Q}$	$C_3$	$A_5$	$D_6$	$E_7$
$\mathcal{C}$	$F_4$	$E_6$	$E_7$	$E_8$

( $\mathcal{K}$  is a quadratic étale algebra,  $\mathcal{Q}$  a quaternion algebra, and  $\mathcal{C}$  a Cayley algebra.)

## An exceptional Lie superalgebra in char. 5 (E. 2007)

In characteristic 5, the Jordan superalgebra  $K_{10}$  can be plugged in Tits construction:

$$\mathcal{T}(\mathcal{C}, K_{10}) = \mathfrak{der}(\mathcal{C}) \oplus (\mathcal{C}_0 \otimes (K_{10})_0) \oplus \mathfrak{der}(K_{10})$$

to get an exceptional simple Lie superalgebra of dimension  $87 = 55 + 32$ :  $\mathfrak{el}(5; 5)$ .



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# Composition superalgebras

## Definition

A superalgebra  $\mathcal{C} = \mathcal{C}_0 \oplus \mathcal{C}_1$ , endowed with a regular quadratic superform  $q = (q_0, b)$ , called the *norm*, is said to be a **composition superalgebra** in case

$$q_0(x_0 y_0) = q_0(x_0) q_0(y_0),$$

$$b(x_0 y, x_0 z) = q_0(x_0) b(y, z) = b(y x_0, z x_0),$$

$$b(xy, zt) + (-1)^{|x||y|+|x||z|+|y||z|} b(zy, xt) = (-1)^{|y||z|} b(x, z) b(y, t),$$

All the composition (super)algebras will be assumed to be unital.

## Composition superalgebras: examples (Shestakov)

$$B(1, 2) = \mathbb{F}1 \oplus V,$$

$\text{char } \mathbb{F} = 3$ ,  $V$  a two-dimensional vector space with a nonzero alternating bilinear form  $\langle \cdot | \cdot \rangle$ , with

$$1x = x1 = x, \quad uv = \langle u|v \rangle 1, \quad \mathfrak{q}_{\bar{0}}(1) = 1, \quad \mathfrak{b}(u, v) = \langle u|v \rangle,$$

is a composition superalgebra.

# Composition superalgebras: examples (Shestakov)

$$B(4, 2) = \text{End}_{\mathbb{F}}(V) \oplus V,$$

$\mathbb{F}$  and  $V$  as before,  $\text{End}_{\mathbb{F}}(V)$  is equipped with the symplectic involution  $f \mapsto \bar{f}$ , ( $\langle f(u)|v \rangle = \langle u|\bar{f}(v) \rangle$ ), the multiplication is given by:

- the usual multiplication (composition of maps) in  $\text{End}_{\mathbb{F}}(V)$ ,
- $v \cdot f = f(v) = \bar{f} \cdot v$  for any  $f \in \text{End}_k(V)$  and  $v \in V$ ,
- $u \cdot v = \langle \cdot | u \rangle v$  ( $w \mapsto \langle w | u \rangle v$ )  $\in \text{End}_{\mathbb{F}}(V)$  for any  $u, v \in V$ ,

and with quadratic superform

$$q_{\bar{0}}(f) = \det f, \quad b(u, v) = \langle u | v \rangle,$$

is a composition superalgebra.

## Theorem

*A composition superalgebra is either:*

- *a composition algebra,*
- *a  $\mathbb{Z}_2$ -graded composition algebra in characteristic 2,*
- *isomorphic to either  $B(1, 2)$  or  $B(4, 2)$  in characteristic 3.*



A. Elduque and S. Okubo,  
*Composition superalgebras.*

*Communications in Algebra* **30** (2002), no. 11, 5447–5471.

# Symmetric construction of Freudenthal Magic Square

Let  $\mathcal{C}$  be a composition algebra over a field  $\mathbb{F}$  of characteristic not 2.

Its **triality Lie algebra** is

$$\begin{aligned} \text{tri}(\mathcal{C}) := \{ & (d_0, d_1, d_2) \in \mathfrak{so}(\mathcal{C})^3 \mid \\ & d_0(x \bullet y) = d_1(x) \bullet y + x \bullet d_2(y) \quad \forall x, y \in \mathcal{C} \} \end{aligned}$$

with  $x \bullet y = \bar{x} \bar{y}$ . ( $\bar{x}$  is the canonical involution.)

This is a Lie algebra with componentwise Lie bracket, and the cyclic permutation

$$\theta : (d_0, d_1, d_2) \mapsto (d_2, d_0, d_1)$$

is an automorphism (**triality automorphism**).

# Symmetric construction of Freudenthal magic square

The vector space

$$\mathfrak{g}(\mathcal{C}, \mathcal{C}') = (\mathfrak{tri}(\mathcal{C}) \oplus \mathfrak{tri}(\mathcal{C}')) \oplus \left( \bigoplus_{i=0}^2 \iota_i(\mathcal{C} \otimes \mathcal{C}') \right),$$

where  $\mathcal{C}$  and  $\mathcal{C}'$  are composition algebras and  $\iota_i(\mathcal{C} \otimes \mathcal{C}')$  is just a copy of  $\mathcal{C} \otimes \mathcal{C}'$  ( $i = 0, 1, 2$ ), becomes a Lie algebra with:

- the Lie bracket in  $\mathfrak{tri}(\mathcal{C}) \oplus \mathfrak{tri}(\mathcal{C}')$ ,
- $[(d_0, d_1, d_2), \iota_i(x \otimes x')] = \iota_i(d_i(x) \otimes x')$ ,
- $[(d'_0, d'_1, d'_2), \iota_i(x \otimes x')] = \iota_i(x \otimes d'_i(x'))$ ,

# Symmetric construction of Freudenthal magic square

- $[\iota_i(x \otimes x'), \iota_{i+1}(y \otimes y')] = \iota_{i+2}((x \bullet y) \otimes (x' \bullet y')),$
- $[\iota_i(x \otimes x'), \iota_i(y \otimes y')] = \mathbf{q}'(x', y')\theta^i(t_{x,y}) + \mathbf{q}(x, y)\theta'^i(t'_{x',y'}) \in \mathbf{tri}(\mathcal{C}) \oplus \mathbf{tri}(\mathcal{C}'),$   
with  $t_{x,y} := \left( s_{x,y}, \frac{1}{2}(r_y l_x - r_x l_y), \frac{1}{2}(l_y r_x - l_x r_y) \right),$  and  
 $s_{x,y} : z \mapsto q(x, z)y - q(y, z)x,$   $l_x : z \mapsto x \bullet z,$  and  $r_x : z \mapsto z \bullet x.$



# Freudenthal magic square

		dim $\mathcal{C}'$			
		1	2	4	8
dim $\mathcal{C}$	1	$A_1$	$A_2$	$C_3$	$F_4$
	2	$A_2$	$A_2 \oplus A_2$	$A_5$	$E_6$
	4	$C_3$	$A_5$	$D_6$	$E_7$
	8	$F_4$	$E_6$	$E_7$	$E_8$

(Vinberg, Allison-Faulkner, Barton-Sudbery, Landsberg-Manivel, E.)

## Extended Freudenthal magic square in characteristic 3

The previous symmetric construction of Freudenthal magic square works if the composition algebras are replaced by **composition superalgebras**:

$\mathfrak{g}(C, C')$	$\mathbb{F}$	$\mathcal{K}$	$\mathcal{Q}$	$\mathcal{C}$	$B(1, 2)$	$B(4, 2)$
$\mathbb{F}$	$A_1$	$\tilde{A}_2$	$C_3$	$F_4$	6 8	21 14
$\mathcal{K}$		$\tilde{A}_2 \oplus \tilde{A}_2$	$\tilde{A}_5$	$\tilde{E}_6$	11 14	35 20
$\mathcal{Q}$			$D_6$	$E_7$	24 26	66 32
$\mathcal{C}$				$E_8$	55 50	133 56
$B(1, 2)$					21 16	36 40
$B(4, 2)$						78 64

(Cunha-E. 2007)

# Lie superalgebras in the extended magic square

	$B(1, 2)$	$B(4, 2)$
$\mathbb{F}$	$\mathfrak{psl}_{2,2}$	$\mathfrak{sp}_6 \oplus (14)$
$\mathcal{K}$	$(\mathfrak{sl}_2 \oplus \mathfrak{pgl}_3) \oplus ((2) \otimes \mathfrak{psl}_3)$	$\mathfrak{pgl}_6 \oplus (20)$
$\mathcal{Q}$	$(\mathfrak{sl}_2 \oplus \mathfrak{sp}_6) \oplus ((2) \otimes (13))$	$\mathfrak{so}_{12} \oplus \mathit{spin}_{12}$
$\mathcal{C}$	$(\mathfrak{sl}_2 \oplus \mathfrak{f}_4) \oplus ((2) \otimes (25))$	$\mathfrak{e}_7 \oplus (56)$
$B(1, 2)$	$\mathfrak{so}_7 \oplus 2\mathit{spin}_7$	$\mathfrak{sp}_8 \oplus (40)$
$B(4, 2)$	$\mathfrak{sp}_8 \oplus (40)$	$\mathfrak{so}_{13} \oplus \mathit{spin}_{13}$

In this way one obtains a whole bunch of new simple exceptional modular simple Lie superalgebras in characteristic 3.

Together with  $\mathfrak{e}\mathfrak{l}(5; 5)$  these account for most of the exceptional simple contragredient Lie superalgebras in low characteristics ( $\neq 2$ ).

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## Verlinde category

Let  $\mathbb{F}$  be a field of characteristic  $p$ .

The category  $\text{Rep } C_p$ , whose objects are the finite-dimensional representations of the finite group  $C_p$  over  $\mathbb{F}$ , and whose morphisms are the equivariant homomorphisms, is a **symmetric tensor category**, with the usual tensor product of vector spaces and the braiding given by the usual swap:  $X \otimes Y \rightarrow Y \otimes X$ ,  $x \otimes y \mapsto y \otimes x$ .

A homomorphism  $f \in \text{Hom}_{\text{Rep } C_p}(X, Y)$  is said to be **negligible** if for all homomorphisms  $g \in \text{Hom}_{\text{Rep } C_p}(Y, X)$ ,  $\text{tr}(fg) = 0$  holds. Denote by  $N(X, Y)$  the subspace of negligible homomorphisms in  $\text{Hom}_{\text{Rep } C_p}(X, Y)$ .

## Verlinde category

Negligible homomorphisms form a **tensor ideal** and this allows us to define the **semisimplification** of  $\text{Rep } C_p$ , which is the **Verlinde category**  $\text{Ver}_p$ , whose objects are the objects of  $\text{Rep } C_p$ , but whose morphisms are given by

$$\text{Hom}_{\text{Ver}_p}(X, Y) := \text{Hom}_{\text{Rep } C_p}(X, Y) / \mathcal{N}(X, Y).$$

This is again a symmetric tensor category, with the tensor product in  $\text{Rep } C_p$ , and the braiding induced by the one in  $\text{Rep } C_p$ . Moreover, it is **semisimple**.

## Theorem

*The category  $s\text{Vec}$  of vector superspaces is equivalent to the full tensor subcategory of  $\text{Ver}_p$  generated by the one-dimensional and  $(p-1)$ -dimensional irreducible objects in  $\text{Rep } C_p$ .*

## Consequence

Any order  $p$  automorphism of an algebra  $\mathcal{A}$  allows to see  $\mathcal{A}$  as an algebra in  $\text{Rep } C_p$ , which induces an algebra in the categories  $\text{Ver}_p$  and in  $s\text{Vec}$ . But an algebra in the category  $s\text{Vec}$  is a **superalgebra**. This superalgebra is said to be **obtained by semisimplification** of  $\mathcal{A}$ .

# From the Albert algebra to Kac's superalgebra

The exceptional split central simple Jordan algebra, or **Albert algebra**, over a field of characteristic 5 is endowed with a suitable automorphism of order 5.

## Theorem (E.-Etingof-Kannan 2024)

*Over a field of characteristic 5, Kac's superalgebra  $K_{10}$  is obtained by semisimplification of the Albert algebra.*

## Corollary

*The exceptional modular simple Lie superalgebra  $\mathfrak{e}\mathfrak{l}(5;5)$  is obtained by semisimplification of the exceptional simple Lie algebra  $E_8$ .*



# From octonions to composition superalgebras

The algebra of (split) octonions over fields of characteristic 3 is endowed with some very specific order 3 automorphisms.

## Theorem (Daza-E.-Sayin 2024)

*Over a field of characteristic 3, the composition superalgebras  $B(1, 2)$  and  $B(4, 2)$  are both obtained by semisimplification of the octonions.*

## Corollary

*The exceptional modular simple Lie superalgebras in the extended Freudenthal magic square are all obtained by semisimplification of the exceptional simple Lie algebra  $E_8$ .*

Thank you!