Order 3 elements in G₂ and idempotents in symmetric composition algebras



Alberto Elduque



2 Classification

3 Idempotents and order 3 automorphisms, char $\mathbb{F} \neq 3$

4 Idempotents and order 3 automorphisms, char $\mathbb{F}=3$



2 Classification

3 Idempotents and order 3 automorphisms, char $\mathbb{F} \neq 3$

 ${}^{(4)}$ ldempotents and order 3 automorphisms, char $\mathbb{F}=3$



Definition

• A composition algebra over a field is a triple (C, \cdot, n) where

- C is a vector space,
- $x \cdot y$ is a bilinear multiplication $C \times C \rightarrow C$,
- n: C → F is a multiplicative (n(x · y) = n(x)n(y) ∀x, y ∈ C) nonsingular quadratic form.

• The unital composition algebras are called Hurwitz algebras.

- Hurwitz algebras exist only in dimension 1, 2, 4, or 8. (These are too the possible dimensions of the finite-dimensional arbitrary composition algebras.)
- The two-dimensional Hurwitz algebras are just the quadratic étale algebras.
- The four-dimensional Hurwitz algebras are the quaternion algebras.
- The eight-dimensional Hurwitz algebras are termed octonion (or Cayley) algebras.

Theorem

- Hurwitz algebras are isomorphic iff their norms are isometric.
- For each dimension 2, 4, or 8, there is a unique, up to isomorphism, Hurwitz algebra with isotropic norm:
 - $\mathbb{F} \times \mathbb{F}$ with $n((\alpha, \beta)) = \alpha \beta$,
 - $Mat_2(\mathbb{F})$ with n = det,
 - The algebra of Zorn matrices (or split Cayley algebra):

$$\mathfrak{C}_{s} = \left\{ \begin{pmatrix} \alpha & u \\ \mathbf{v} & \beta \end{pmatrix} : \alpha, \beta \in \mathbb{F}, u, \mathbf{v} \in \mathbb{F}^{3} \right\}, \quad \text{with}$$

$$\begin{pmatrix} \alpha & u \\ \mathbf{v} & \beta \end{pmatrix} \cdot \begin{pmatrix} \alpha' & u' \\ \mathbf{v}' & \beta' \end{pmatrix} = \begin{pmatrix} \alpha \alpha' + (u \mid \mathbf{v}') & \alpha u' + \beta' u - \mathbf{v} \times \mathbf{v}' \\ \alpha' \mathbf{v} + \beta \mathbf{v}' + u \times u' & \beta \beta' + (\mathbf{v} \mid u') \end{pmatrix},$$

$$n \left(\begin{pmatrix} \alpha & u \\ \mathbf{v} & \beta \end{pmatrix} \right) = \alpha \beta - (u \mid \mathbf{v}).$$

Pseudo-octonions (Okubo 1978)

Let \mathbb{F} be a field of characteristic $\neq 2,3$ containing a primitive cubic root ω of 1.

On the vector space $\mathfrak{sl}_3(\mathbb{F})$ consider the multiplication:

$$x * y = \omega xy - \omega^2 yx - \frac{\omega - \omega^2}{3} \operatorname{tr}(xy)1,$$

and norm:

$$n(x) = -\frac{1}{2}\operatorname{tr}(x^2).$$

Then, for any x, y,

$$n(x * y) = n(x)n(y), (x * y) * x = n(x)y = x * (y * x).$$

In particular, $(\mathfrak{sl}_3(\mathbb{F}), *, n)$ is a *composition algebra*.

A couple of remarks

Denote by $P_8(\mathbb{F})$ the algebra thus defined (algebra of pseudo-octonions).

- P₈(F) makes sense in characteristic 2, because tr(x²) 'is a multiple of 2' if tr(x) = 0.
- Okubo and Osborn (1981) gave an 'ad hoc' definition of P₈(F) over fields of characteristic 3 by means of its multiplication table.

Okubo algebras

In order to define Okubo algebras over arbitrary fields consider the Pauli matrices:

$$x = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \qquad y = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix},$$

in $Mat_3(\mathbb{C})$, which satisfy

$$x^3 = y^3 = 1, \quad xy = \omega yx.$$

For $i,j\in\mathbb{Z}/3\mathbb{Z}$, (i,j)
eq (0,0), define

$$x_{i,j} := \frac{\omega^{ij}}{\omega - \omega^2} x^i y^j.$$

 $\{x_{i,j}: (i,j) \neq (0,0)\}$ is a basis of $\mathfrak{sl}_3(\mathbb{C})$.

Okubo algebras

$$x_{i,j} * x_{k,l} = \omega x_{i,j} x_{k,l} - \omega^2 x_{k,l} x_{i,j} - \frac{\omega - \omega^2}{3} \operatorname{tr}(x_{i,j} x_{k,l}) 1$$
$$= \begin{cases} x_{i+k,j+l} \\ 0 \\ -x_{i+k,j+l} \end{cases} (x_{0,0} := 0)$$

depending on $\begin{vmatrix} i & j \\ k & l \end{vmatrix}$ being equal to 0, 1 or 2 (modulo 3). Miraculously, the ω 's disappear!

Besides, $n(x_{i,j}) = 0$ for any i, j, and

$$n(x_{i,j}, x_{k,l}) = \begin{cases} 1 & \text{for } (i,j) = -(k,l), \\ 0 & \text{otherwise.} \end{cases}$$

Thus, the $\mathbb{Z}\text{-}\mathsf{module}$

$$\mathbb{O}_{\mathbb{Z}} = \mathbb{Z}\text{-span}\left\{x_{i,j}: -1 \leq i, j \leq 1, \ (i,j) \neq (0,0)\right\}$$

is closed under *, and n restricts to a nonsingular multiplicative quadratic form on $\mathbb{O}_{\mathbb{Z}}.$

Definition

Let ${\mathbb F}$ be an arbitrary field. Then

$${\mathfrak O}_{\mathbb F}:={\mathfrak O}_{\mathbb Z}\otimes_{\mathbb Z} {\mathbb F},$$

with the induced multiplication and nonsingular quadratic form, is called the **split Okubo algebra** over \mathbb{F} .

The twisted forms of $\mathbb{O}_{\mathbb{F}}$ are called Okubo algebras over $\mathbb{F}.$

Definition

A composition algebra $(\mathcal{C}, *, n)$ is said to be **symmetric** if the polar form of its norm is associative:

$$n(x*y,z)=n(x,y*z),$$

for any $x, y, z \in \mathcal{C}$.

This is equivalent to the condition:

$$(x*y)*x = n(x)y = x*(y*x),$$

for any $x, y \in \mathcal{C}$.

Markus Rost, around 1994, realized that this is the right class of algebras to deal with the phenomenon of triality.

- Okubo algebras are symmetric composition algebras.
- Given any Hurwitz algebra (𝔅, ⋅, n), the algebra (𝔅, ●, n), where

$$x \bullet y = \bar{x} \cdot \bar{y}$$

is called the associated **para-Hurwitz** algebra (Okubo-Myung 1980).

Para-Hurwitz algebras are symmetric.

Theorem (Okubo-Osborn 1981, E.-Pérez-Izquierdo 1996) Any eight-dimensional symmetric composition algebra is either a para-Hurwitz algebra or an Okubo algebra. Let $(\mathcal{C}, *, n)$ be an eight-dimensional symmetric composition algebra. Write

$$L_x(y) = x * y = R_y(x).$$

$$L_x R_x = n(x)$$
id $= R_x L_x \implies \begin{pmatrix} 0 & L_x \\ R_x & 0 \end{pmatrix}^2 = n(x)$ id

Therefore, the map $x \mapsto \begin{pmatrix} 0 & L_x \\ R_x & 0 \end{pmatrix}$ extends to an isomorphism of algebras with involution

$$\Phi: (\mathfrak{Cl}(\mathfrak{C}, n), \tau) \longrightarrow (\mathsf{End}(\mathfrak{C} \oplus \mathfrak{C}), \sigma_{n \perp n})$$

Spin group

Consider the *spin group*:

$$\operatorname{Spin}(\mathfrak{C}, n) = \left\{ u \in \mathfrak{Cl}(\mathfrak{C}, n)_{\bar{0}}^{\times} : u \cdot \mathfrak{C} \cdot u^{-1} \subseteq \mathfrak{C}, \ u \cdot \tau(u) = 1 \right\}.$$

For any $u \in \text{Spin}(\mathfrak{C}, n)$,

$$\Phi(u) = egin{pmatrix}
ho_u^- & 0 \ 0 &
ho_u^+ \end{pmatrix}$$

for some $ho_u^\pm \in \mathrm{O}(\mathfrak{C},n)$ such that

$$\chi_u(x*y) = \rho_u^+(x)*\rho_u^-(y)$$

for any $x, y \in \mathcal{C}$, where $\chi_u(x) = u \cdot x \cdot u^{-1}$.

The natural and the two half-spin representations are linked!

Theorem

Let (C, *, n) be an eight-dimensional symmetric composition algebra. Then:

$$\begin{split} \operatorname{Spin}(\mathbb{C},n) &\simeq \{(f_0,f_1,f_2) \in \operatorname{O}^+(\mathbb{C},n)^3 : \\ & f_0(x * y) = f_1(x) * f_2(y) \quad \forall x,y \in \mathbb{C} \} \\ & u \quad \mapsto \quad (\chi_u,\rho_u^+,\rho_u^-) \end{split}$$

Moreover, the set of related triples (the set on the right hand side) has cyclic symmetry.

The cyclic symmetry on the right hand side induces an outer automorphism of order 3 (*trialitarian automorphism*) of $\text{Spin}(\mathbb{C}, n)$. The subgroup of the elements fixed by this automorphism is $\text{Aut}(\mathbb{C}, *, n)$.



2 Classification

3 Idempotents and order 3 automorphisms, char $\mathbb{F} \neq 3$

4 Idempotents and order 3 automorphisms, char $\mathbb{F}=3$

Sketch of proof

- If (C, *, n) is a symmetric composition algebra over F, there is a field extension K/F of degree ≤ 3 such that (C_K, *, n) contains a nonzero idempotent. Hence we may assume that there exists 0 ≠ e ∈ C with e * e = e. Then n(e) = 1.
- Consider the new multiplication

$$x \cdot y = (e * x) * (y * e).$$

Then (\mathcal{C}, \cdot, n) is a Hurwitz algebra with unity 1 = e, and the map $\tau : x \mapsto e * (e * x) = n(e, x)e - x * e$ is an automorphism of both $(\mathcal{C}, *, n)$ and of (\mathcal{C}, \cdot, n) , such that $\tau^3 = \mathrm{id}$.

 If τ = id, (C, *, n) is para-Hurwitz, otherwise it may be either para-Hurwitz or Okubo.

Classification of Okubo algebras

Let \mathbb{F} be a field, char $\mathbb{F} \neq 3$, containing a primitive cubic root of 1. By restriction we obtain a natural isomorphism

```
\mathsf{PGL}_3 \simeq \mathsf{Aut}\big(\mathsf{Mat}_3(\mathbb{F})\big) \to \mathsf{Aut}\big((\mathfrak{sl}_3(\mathbb{F}), *, n)\big)
```

of affine group schemes.

```
Theorem (E.-Myung 1991, 1993)
```

The map



is bijective.

Let \mathbb{F} be a field, char $\mathbb{F} \neq 3$, not containing primitive cubic roots of 1. Let $\mathbb{K} = \mathbb{F}[X]/(X^2 + X + 1)$.

Theorem (E.-Myung 1991, 1993)
The map

$$\begin{cases}
Isomorphism classes of \\
pairs (B, \sigma), where B is a simple \\
degree 3 associative algebra \\
over K and \sigma a K/F-involution \\
of the second kind
\end{cases} \longrightarrow \begin{cases}
Isomorphism classes \\
of Okubo algebras
\end{cases}$$

$$[(B, \sigma)] \mapsto [(Skew(B, \sigma)_{0}, *, n)]$$

is bijective.

Theorem (Chernousov-E.-Knus-Tignol 2013)

Let (0, *, n) be the split Okubo algebra over a field \mathbb{F} (char $\mathbb{F} = 3$).

- Aut(0,*,n) is not smooth: dim Aut(0,*,n) = 8 while
 Der(0,*,n) is a simple (nonclassical) Lie algebra of dimension 10.
- Aut(O, *, n) = HD, where
 H = Aut(O, *, n)_{red} = Aut(O, *, n, e), where e is the quaternionic idempotent and D ≃ μ₃ × μ₃.
- The map

$$H^1(\mathbb{F}, \mu_3 imes \mu_3) o H^1ig(\mathbb{F}, \operatorname{Aut}(\mathbb{O}, *, n)ig)$$

induced by the inclusion $\mathbf{D} \hookrightarrow \mathbf{Aut}(\mathbb{O}, *, n)$, is surjective.

Recall that \mathfrak{O} is spanned by elements $x_{i,j}$, $(i,j) \neq (0,0)$ (indices modulo 3). It is actually generated by $x_{1,0}$ and $x_{0,1}$. Given $0 \neq \alpha, \beta \in \mathbb{F}$, the elements

$$x_{1,0} \otimes \alpha^{\frac{1}{3}}, \ x_{0,1} \otimes \beta^{\frac{1}{3}} \in \mathfrak{O} \otimes_{\mathbb{F}} \overline{\mathbb{F}}$$

generates, by multiplication and linear combinations over \mathbb{F} , a twisted form of $(\mathcal{O}, *, n)$. Denote it by $\mathcal{O}_{\alpha,\beta}$.

Theorem (E. 1997)

Any Okubo algebra over \mathbb{F} (char $\mathbb{F} = 3$) is isomorphic to $\mathbb{O}_{\alpha,\beta}$ for some $0 \neq \alpha, \beta \in \mathbb{F}$.

Symmetric composition algebras

2 Classification

3 Idempotents and order 3 automorphisms, char $\mathbb{F} \neq 3$

④ Idempotents and order 3 automorphisms, char $\mathbb{F}=3$

Idempotents and order 3 automorphisms

Let $(\mathcal{C}, *, n)$ be a symmetric composition algebra, $0 \neq e = e * e$.

- With $x \cdot y = (e * x) * (y * e)$, (C, \cdot , n) is a Hurwitz algebra with unity 1 = e.
- $\tau : x \mapsto e * (e * x)$ is an automorphism of both (\mathcal{C}, \cdot, n) and $(\mathcal{C}, *, n)$, and $\tau^3 = id$.
- If $\tau = id$, then $(\mathcal{C}, *, n)$ is para-Hurwitz and e its para-unit $(e * x = x * e = \overline{x} := n(e, x)e x \ \forall x)$.
- $x * y = \tau(\bar{x}) \cdot \tau^2(\bar{y})$, so (C, *, n) is recovered from the Hurwitz algebra (C, \cdot , n) and τ .¹

¹Conversely, if τ is an order 3 automorphism of a Hurwitz algebra (\mathcal{C}, \cdot, n) , then the *Petersson algebra* $(\mathcal{C}, *, n)$, where $x * y = \tau(\bar{x}) \cdot \tau^2(\bar{y})$, is a symmetric composition algebra, and e = 1 is an idempotent.

Therefore,

Order 3 automorphisms of Cayley algebras

Idempotents in symmetric composition algebras

Idempotents and order 3 automorphisms

Moreover,

• The subalgebra of fixed points by τ :

$$\operatorname{Fix}(\tau) := \{ x \in \mathcal{C} : \tau(x) = x \}$$

coincides with the centralizer of *e*:

$$\operatorname{Cent}_{(\mathcal{C},*,n)}(e) := \{x \in \mathcal{C} : e * x = x * e\}.$$

 The centralizer in the group scheme of automorphisms of (C, ·, n) of τ coincides with the stabilizer of e in the group scheme of automorphisms of (C, *, n):

$$\operatorname{Cent}_{\operatorname{Aut}(\mathbb{C},\cdot,n)}(\tau) = \operatorname{Stab}_{\operatorname{Aut}(\mathbb{C},*,n)}(e).$$

Let τ be an order 3 automorphism of a Cayley algebra (\mathcal{C}, \cdot, n) over a field \mathbb{F} , char $\mathbb{F} \neq 3$. There are two different possibilities:

• There is an element $w \in \mathbb{C} \setminus \mathbb{F}1$ with $w^{\cdot 2} + w + 1 = 0$ such that for any $x \in \mathbb{C}$

$$\tau(x)=w\cdot x\cdot w^2.$$

In this case, the subalgebra $Fix(\tau)$ of the elements fixed by τ is $\mathcal{K} = \mathbb{F}1 + \mathbb{F}w$ (a quadratic étale subalgebra).

The subalgebra Fix(τ) is a quaternion subalgebra of C containing an element w ∈ C \ F1 such that w² + w + 1 = 0.

- These automorphisms correspond to the idempotents of a para-Cayley algebra, different from its para-unit.
- Any two such automorphisms are conjugate in $Aut(\mathcal{C}, \cdot, n)$.
- Cent_{Aut(C,·,n)}(τ) = Stab_{Aut(C,·,n)}(w), and this is, up to isomorphism, the special unitary group SU(W, σ), for W = K[⊥] and a suitable hermitian form σ.

- These automorphisms correspond to the idempotents of an Okubo algebra.
- Any two such automorphisms are conjugate in Aut(C, ·, n) if and only if the corresponding quaternion subalgebras are isomorphic.

In particular, if \mathbb{F} contains the cubic roots of 1, then \mathbb{C} is the split Cayley algebra, the corresponding symmetric composition algebra is the split Okubo algebra, and any two such automorphisms are conjugate.

• Cent_{Aut(\mathcal{C}, \cdot, n)} (τ) is isomorphic to SL₁(\mathfrak{Q}) × SL₁(\mathfrak{K})/ μ_2 , where $\mathfrak{Q} = \operatorname{Fix}(\tau)$.

Let (\mathcal{C}, \cdot, n) be a Cayley algebra and $(\mathcal{C}, \bullet, n)$ the associated para-Cayley algebra $(x \bullet y = \overline{x} \cdot \overline{y} \text{ for any } x, y \in \mathcal{C})$. Then

 $\{\text{idempotents of } (\mathfrak{C}, \bullet, n)\} = \{1\} \cup \{w \in \mathfrak{C} \setminus \mathbb{F}1 : w^{\cdot 2} + w + 1 = 0\}.$

All the idempotents, with the exception of the para-unit 1, are conjugate under $Aut(\mathcal{C}, \cdot, n) = Aut(\mathcal{C}, \bullet, n)$.

• Otherwise, the only idempotent of $(\mathcal{C}, \bullet, n)$ is its para-unit.

- An Okubo algebra (0, *, n) over a field F of characteristic ≠ 3 contains an idempotent if and only if it contains a subalgebra isomorphic to the para-Hurwitz algebra X associated to X. In this case, the centralizer of any idempotent e is a para-quaternion subalgebra containing a subalgebra isomorphic to X, and two idempotents are conjugate if and only if the corresponding quaternion algebras are isomorphic. In particular, if F contains the cubic roots of 1, then (0, *, n) contains a unique conjugacy class of idempotents.
- (0, *, n) is split if and only if n is isotropic and there is an idempotent.

Symmetric composition algebras

2 Classification

3 Idempotents and order 3 automorphisms, char $\mathbb{F} \neq 3$

4 Idempotents and order 3 automorphisms, char $\mathbb{F} = 3$

Definition

An idempotent of an Okubo algebra $(\mathfrak{O}, *, n)$ (char $\mathbb{F} = 3$) is said to be:

- quaternionic, if its centralizer contains a para-quaternion algebra,
- **quadratic**, if its centralizer contains a para-quadratic algebra and no para-quaternion subalgebra,
- singular, otherwise.

Proposition (Chernousov-E.-Knus-Tignol 2013)

Among Okubo algebras, only the split one contains a quaternionic idempotent, and only one.

Let (\mathcal{C}, \cdot, n) be a Cayley algebra over a field \mathbb{F} of characteristic 3, and let τ be an order 3 automorphism of (\mathcal{C}, \cdot, n) . Then (\mathcal{C}, \cdot, n) is the split Cayley algebra.

Consider the root space decomposition of the Lie algebra of derivations relative to a Cartan subalgebra:

$$\mathcal{L} = \mathfrak{der}(\mathcal{C},\cdot,\textit{n}) = \mathfrak{H} \oplus \left(\bigoplus_{lpha \in \mathbf{\Phi}} \mathcal{L}_{lpha}
ight).$$

There are four different possibilities:

I)
$$(\tau - id)^2 = 0.$$

- In this case τ is conjugate to exp(δ), with 0 ≠ δ ∈ L_α, for α a long root.
- Up to conjugation, there is only one such automorphism.
- These automorphisms correspond to the quaternionic idempotent of the split Okubo algebra (0, *, n).
- Cent_{Aut(C,·,n)}(τ) is the derived subgroup of the parabolic subgroup corresponding to a short root.
 Up to isomorphism, this is the group Aut(O, *, n)_{red}.

II) $(\tau - id)^2 \neq 0$ and $Fix(\tau)$ contains a 2-dimensional Hurwitz subalgebra \mathcal{K} .

- If ${\mathbb F}$ is algebraically closed, all these automorphisms are conjugate.
- There is a short exact sequence

$$1 \longrightarrow \left(\mathsf{K} \rtimes \boldsymbol{\mu}_{3,[\mathcal{K}]} \right) \times \mathsf{N} \longrightarrow \mathbf{Cent}_{\mathbf{Aut}(\mathcal{C},\cdot,n)}(\tau) \longrightarrow \mathsf{C}_2 \longrightarrow 1$$

where K and N are isomorphic to G_a^2 , and $\mu_{3,[\mathcal{K}]}$ is a twisted form of μ_3 .

• These automorphisms correspond to the quadratic idempotents of Okubo algebras.

III) τ is conjugate to $\exp(\delta)$, with $\delta \in \mathcal{L}_{\alpha}$, α short.

- All these automorphisms are conjugate.
- Cent_{Aut(C,·,n)}(τ) is the derived subgroup of the parabolic subgroup corresponding to a long root.
- These automorphisms correspond to the idempotents of the split para-Cayley algebra other than its para-unit.

IV) τ is the composition of automorphisms in I) and III) corresponding to orthogonal roots.

- All these automorphisms are conjugate.
- Cent_{Aut(C,·,n)}(τ) is the unipotent radical of a standard Borel subgroup.
- These automorphisms correspond to the singular idempotents of the split Okubo algebra.

Let $(\mathfrak{O}, *, n)$ be an Okubo algebra over a field \mathbb{F} (char $\mathbb{F} = 3$). Then the map

$$g: \mathfrak{O} \longrightarrow \mathbb{F}$$
$$x \mapsto n(x, x * x)$$

satisfies

$$g(x+y) = g(x) + g(y),$$
 $g(\alpha x) = \alpha^3 g(x),$

for $x, y \in \mathcal{O}$, $\alpha \in \mathbb{F}$.

It turns out that $\dim_{\mathbb{F}^3} g(\mathbb{O})$ is 1, 3 or 8.

Theorem

- 1. If dim_{\mathbb{F}^3} $g(\mathcal{O}) = 8$, then $(\mathcal{O}, *, n)$ contains no idempotents.
- If dim_{𝔅³} g(𝔅) = 3, (𝔅, *, n) contains a unique quadratic idempotent for each class [𝔅, a] with 𝔅 a quadratic étale algebra, a ∈ 𝔅 \ 𝔅^{⋅3}, n(a) = 1, and g(𝔅) = 𝔅³(a + ā).
- 3. If (0, *, n) is the split Okubo algebra, then it contains:
 - 3.1 a unique quaternionic idempotent,
 - 3.2 a conjugacy class of quadratic idempotents for each isomorphism class of quadratic étale algebras,
 - 3.3 a unique conjugacy class of singular idempotents.

 $[\mathcal{K}, \mathbf{a}] = [\mathcal{K}', \mathbf{a}'] \text{ iff there is an isomorphism } \varphi : \mathcal{K} \to \mathcal{K}' \text{ such that } \varphi(\mathbf{a}) \in (\mathcal{K}')^3 \cdot \mathbf{a}'.$

Thank you!