Triality



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In fond memory of Professor Susumu Okubo

Triality?

Collins Dictionary: the state of being threefold, (THREEFOLD: composed of three parts. Wikipedia: In mathematics, triality is a relationship among three vector spaces, analogous to the duality relation between dual vector spaces Most commonly describes those special textures of the Dynki diagram D₄ and the associated Lie group Spino ... ansing because the group has an outer automorphism of order thee. There is a geometrical version of triality analogous to duality in projective geometry. **.** . S one finds a chrous phenomenon involving 1-, 2-, and 4-dimensional subspaces of 8-dimensional space, historially known as "geometric triality". palabra trialidad no está en el Diccionario".



2 Symmetric composition algebras



3 Algebraic triality



2 Symmetric composition algebras





- (V, q): eight-dimensional vector space endowed with a nondegenerate quadratic form of maximal Witt index.
 U_i := {isotropic subspaces of dimension i}, i = 1, 2, 3, 4.
- Consider the quadric Q:={Ev:0≠??V, q(v) = 0} in projective space P. Science P. V, q(v) = 0} in U₁: points; U₂: lines; U₃: planes; U₄: "solids". Just think of R⁸ and q(x₁,..., x₈) = x₁x₅ + x₂x₆ + x₃x₇ + x₄x₈,
 Two solids are of the same kind if their intersection (as vector subspaces) is of even dimension. It turns out that two solids are of the same kind if and only if they belong to the same orbit under the action of the special orthogonal group. There are exactly two kinds of solids.

On the set of points and solids there is a natural incidence relation:

- Two points are incident if they lie on a line (inside Q).
- Two solids of the same kind are incident if their intersection is not trivial.
- Two solids of different kinds are incident if their intersection is a plane.
- A point is incident with a solid if it lies in it.

Theorem (Eduard Study 1913)

- The variety of solids of a fixed kind in Q is a quadric isomorphic to Q.
- Any proposition in the geometry of Q (about incidence relations) remains true if the concepts of points, solids of one kind, and solids of the other kind, are cyclically permuted.



Élie Cartan (1925): On peut dire que le principe de dualité de la géometrie projective est remplacé par un principe de trialité.

$\mathbb{R} \ \hookrightarrow \ \mathbb{C} \ \hookrightarrow \ \mathbb{H} \ \hookrightarrow \ \mathbb{O}$

Definition

• A composition algebra over a field is a triple (C, \cdot, n) where

- C is a vector space,
- $x \cdot y$ is a bilinear multiplication $C \times C \rightarrow C$,
- n: C → F is a multiplicative (n(x · y) = n(x)n(y) ∀x, y ∈ C) nonsingular quadratic form.

• The unital composition algebras are called Hurwitz algebras.

- Hurwitz algebras exist only in dimension 1, 2, 4, or 8. (These are too the possible dimensions of the finite-dimensional arbitrary composition algebras.)
- The two-dimensional Hurwitz algebras are just the quadratic étale algebras.
- The four-dimensional Hurwitz algebras are the quaternion algebras.
- The eight-dimensional Hurwitz algebras are termed octonion (or Cayley) algebras.

Theorem

- Hurwitz algebras are isomorphic iff their norms are isometric.
- For each dimension 2, 4, or 8, there is a unique, up to isomorphism, Hurwitz algebra with isotropic norm:
 - $\mathbb{F} \times \mathbb{F}$ with $n((\alpha, \beta)) = \alpha \beta$,
 - $Mat_2(\mathbb{F})$ with n = det,
 - The algebra of Zorn matrices (or split Cayley algebra):

$$C_{s} = \left\{ \begin{pmatrix} \alpha & u \\ v & \beta \end{pmatrix} : \alpha, \beta \in \mathbb{F}, u, v \in \mathbb{F}^{3} \right\}, \text{ with}$$

$$\begin{pmatrix} \alpha & u \\ v & \beta \end{pmatrix} \cdot \begin{pmatrix} \alpha' & u' \\ v' & \beta' \end{pmatrix} = \begin{pmatrix} \alpha \alpha' + (u \mid v') & \alpha u' + \beta' u - v \times v' \\ \alpha' v + \beta v' + u \times u' & \beta \beta' + (v \mid v') \end{pmatrix},$$

$$n \left(\begin{pmatrix} \alpha & u \\ v & \beta \end{pmatrix} \right) = \alpha \beta - (u \mid v).$$

Let $(\mathcal{C}_s, \cdot, n)$ be the split Cayley algebra and identify our quadric Q with $\{\mathbb{F}x : 0 \neq x \in \mathcal{C}_s, n(x) = 0\}$.

Theorem (Felix Vaney 1929)

• The solids of the two kinds are precisely the subspaces:

$$S_a^1 := \{ x \in \mathcal{C}_s : a \cdot x = 0 \}, \qquad S_a^2 := \{ x \in \mathcal{C}_s : x \cdot a = 0 \},$$

for $0 \neq a \in \mathfrak{C}_s$, n(a) = 0.

• The cyclic permutation S_a^1 is a 'geometric triality' (it preserves incidence relations).

Trialitarian automorphisms

- The group PGO⁺₈(n) admits a group of 'outer automorphisms' isomorphic to the symmetric group S₃.
- Outer automorphisms of order 3 (or trialitarian automorphisms) correspond to geometric trialities.
- J. Tits (1959) showed that there are two different types of geometric trialities, one of them is the one before related to the octonions and the exceptional group G₂, while the other is related to the classical groups of type A₂, unless the characteristic is 3.

Question

Are there algebras, other than the octonions, that are 'responsible' of this new type of geometric triality?

Answer

Yes: Okubo algebras.



2 Symmetric composition algebras



Let \mathbb{F} be a field of characteristic $\neq 2,3$ containing a primitive cubic root ω of 1.

On the vector space $\mathfrak{sl}_3(\mathbb{F})$ consider the multiplication:

$$x * y = \omega xy - \omega^2 yx - \frac{\omega - \omega^2}{3} \operatorname{tr}(xy)1,$$

and norm:

$$n(x) = -\frac{1}{2}\operatorname{tr}(x^2).$$

Then, for any x, y,

$$n(x * y) = n(x)n(y), (x * y) * x = n(x)y = x * (y * x).$$

In particular, $(\mathfrak{sl}_3(\mathbb{F}), *, n)$ is a *composition algebra*.

Denote by $P_8(\mathbb{F})$ the algebra thus defined (algebra of pseudo-octonions).

- P₈(F) makes sense in characteristic 2, because tr(x²) 'is a multiple of 2' if tr(x) = 0.
- Okubo and Osborn (1981) gave an 'ad hoc' definition of P₈(F) over fields of characteristic 3 by means of its multiplication table.

Okubo algebras

In order to define Okubo algebras over arbitrary fields consider the Pauli matrices:

$$x = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega & 0 \\ 0 & 0 & \omega^2 \end{pmatrix}, \qquad y = \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix},$$

in $Mat_3(\mathbb{C})$, which satisfy

$$x^3 = y^3 = 1, \quad xy = \omega yx.$$

For $i,j\in\mathbb{Z}/3\mathbb{Z}$, (i,j)
eq (0,0), define

$$x_{i,j} := \frac{\omega^{ij}}{\omega - \omega^2} x^i y^j.$$

 $\{x_{i,j}: (i,j) \neq (0,0)\}$ is a basis of $\mathfrak{sl}_3(\mathbb{C})$.

Okubo algebras

$$x_{i,j} * x_{k,l} = \omega x_{i,j} x_{k,l} - \omega^2 x_{k,l} x_{i,j} - \frac{\omega - \omega^2}{3} \operatorname{tr}(x_{i,j} x_{k,l}) 1$$
$$= \begin{cases} x_{i+k,j+l} \\ 0 \\ -x_{i+k,j+l} \end{cases} (x_{0,0} := 0)$$

depending on $\begin{vmatrix} i & j \\ k & l \end{vmatrix}$ being equal to 0, 1 or 2 (modulo 3). Miraculously, the ω 's disappear!

Besides, $n(x_{i,j}) = 0$ for any i, j, and

$$n(x_{i,j}, x_{k,l}) = \begin{cases} 1 & \text{for } (i,j) = -(k,l), \\ 0 & \text{otherwise.} \end{cases}$$

Thus, the $\mathbb{Z}\text{-}\mathsf{module}$

$$\mathbb{O}_{\mathbb{Z}} = \mathbb{Z}\text{-span}\left\{x_{i,j}: -1 \leq i, j \leq 1, \ (i,j) \neq (0,0)\right\}$$

is closed under *, and n restricts to a nonsingular multiplicative quadratic form on $\mathbb{O}_{\mathbb{Z}}.$

Definition

Let ${\mathbb F}$ be an arbitrary field. Then

$${\mathfrak O}_{\mathbb F}:={\mathfrak O}_{\mathbb Z}\otimes_{\mathbb Z} {\mathbb F},$$

with the induced multiplication and nonsingular quadratic form, is called the **split Okubo algebra** over \mathbb{F} .

The twisted forms of $\mathbb{O}_{\mathbb{F}}$ are called Okubo algebras over $\mathbb{F}.$

Definition

A composition algebra $(\mathcal{C}, *, n)$ is said to be **symmetric** if the polar form of its norm is associative:

$$n(x*y,z)=n(x,y*z),$$

for any $x, y, z \in \mathcal{C}$.

This is equivalent to the condition:

$$(x*y)*x = n(x)y = x*(y*x),$$

for any $x, y \in \mathcal{C}$.

Markus Rost, around 1994, realized that this is the right class of algebras to deal with the phenomenon of triality.

- Okubo algebras are symmetric composition algebras.
- Given any Hurwitz algebra (𝔅, ⋅, n), the algebra (𝔅, ●, n), where

$$x \bullet y = \bar{x} \cdot \bar{y}$$

is called the associated **para-Hurwitz** algebra (Okubo-Myung 1980).

Para-Hurwitz algebras are symmetric.

Theorem (Okubo-Osborn 1981, E.-Pérez-Izquierdo 1996) Any eight-dimensional symmetric composition algebra is either a

para-Hurwitz algebra or an Okubo algebra.

Sketch of proof

- If (C, *, n) is a symmetric composition algebra over F, there is a field extension K/F of degree ≤ 3 such that (C_K, *, n) contains a nonzero idempotent. Hence we may assume that there exists 0 ≠ e ∈ C with e * e = e. Then n(e) = 1.
- Consider the new multiplication

$$x \cdot y = (e * x) * (y * e).$$

Then (\mathcal{C}, \cdot, n) is a Hurwitz algebra with unity 1 = e, and the map $\tau : x \mapsto e * (e * x) = n(e, x)e - x * e$ is an automorphism of both $(\mathcal{C}, *, n)$ and of (\mathcal{C}, \cdot, n) , such that $\tau^3 = \mathrm{id}$.

 If τ = id, (C, *, n) is para-Hurwitz, otherwise it may be either para-Hurwitz or Okubo.

Symmetric compositions and geometric triality

Let $(\mathcal{C}, *, n)$ be a symmetric composition algebra with isotropic norm and identify our quadric Q with $\{\mathbb{F}x : 0 \neq x \in \mathcal{C}, n(x) = 0\}$.

• The solids of the two kinds are precisely the subspaces

 $S_a^1 := \{ x \in \mathcal{C}_s : a * x = 0 \}, \qquad S_a^2 := \{ x \in \mathcal{C}_s : x * a = 0 \},$

for $0 \neq a \in \mathcal{C}$, n(a) = 0.

• The cyclic permutation



• Any geometric triality is given in this way. The one attached to the para-Cayley algebra coincides with the familiar one, related to the split Cayley algebra. The ones attached to Okubo algebras constitute the other type in Tits' classification.

Classification of Okubo algebras

Let \mathbb{F} be a field, char $\mathbb{F} \neq 3$, containing a primitive cubic root of 1. By restriction we obtain a natural isomorphism

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\mathsf{PGL}_3 \simeq \mathsf{Aut}\big(\mathsf{Mat}_3(\mathbb{F})\big) \to \mathsf{Aut}\big((\mathfrak{sl}_3(\mathbb{F}), *, n)\big)
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of affine group schemes.

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Theorem (E.-Myung 1991, 1993)
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The map



is bijective.

Let \mathbb{F} be a field, char $\mathbb{F} \neq 3$, not containing primitive cubic roots of 1. Let $\mathbb{K} = \mathbb{F}[X]/(X^2 + X + 1)$.

Theorem (E.-Myung 1991, 1993)
The map

$$\begin{cases}
Isomorphism classes of \\
pairs (B, \sigma), where B is a simple \\
degree 3 associative algebra \\
over K and \sigma a K/F-involution \\
of the second kind
\end{cases} \longrightarrow \begin{cases}
Isomorphism classes \\
of Okubo algebras
\end{cases}$$

$$[(B, \sigma)] \mapsto [(Skew(B, \sigma)_{0}, *, n)]$$

is bijective.

Theorem (Chernousov-E.-Knus-Tignol 2013)

Let (0, *, n) be the split Okubo algebra over a field \mathbb{F} (char $\mathbb{F} = 3$).

- Aut(0,*,n) is not smooth: dim Aut(0,*,n) = 8 while Der(0,*,n) is a simple (nonclassical) Lie algebra of dimension 10.
- $\operatorname{Aut}(\mathfrak{O}, *, n) = \operatorname{HD}$, where $\operatorname{H} = \operatorname{Aut}(\mathfrak{O}, *, n)_{\operatorname{red}}$ and $\operatorname{D} \simeq \mu_3 \times \mu_3$.
- The map

$$H^1(\mathbb{F}, \mu_3 \times \mu_3) \to H^1(\mathbb{F}, \operatorname{Aut}(\mathbb{O}, *, n))$$

induced by the inclusion $\mathbf{D} \hookrightarrow \mathbf{Aut}(\mathbb{O}, *, n)$, is surjective.

Classification of Okubo algebras (char $\mathbb{F} = 3$)

Recall that \mathfrak{O} is spanned by elements $x_{i,j}$, $(i,j) \neq (0,0)$ (indices modulo 3). It is actually generated by $x_{1,0}$ and $x_{0,1}$. Given $0 \neq \alpha, \beta \in \mathbb{F}$, the elements

$$x_{1,0} \otimes \alpha^{\frac{1}{3}}, \ x_{0,1} \otimes \beta^{\frac{1}{3}} \in \mathfrak{O} \otimes_{\mathbb{F}} \overline{\mathbb{F}}$$

generates, by multiplication and linear combinations over \mathbb{F} , a twisted form of $(\mathcal{O}, *, n)$. Denote it by $\mathcal{O}_{\alpha,\beta}$.

Corollary

The following map is surjective:

$$\mathbb{F}^{\times}/(\mathbb{F}^{\times})^{3} \times \mathbb{F}^{\times}/(\mathbb{F}^{\times})^{3} \longrightarrow \begin{cases} \text{Isomorphism classes} \\ \text{of Okubo algebras} \end{cases}$$
$$(\alpha(\mathbb{F}^{\times})^{3}, \beta(\mathbb{F}^{\times})^{3}) \mapsto [\mathfrak{O}_{\alpha\beta}]$$

Theorem (E. 1997)

Any Okubo algebra over 𝔽 (char 𝒴 = 3) is isomorphic to 𝔅_{α,β} for some 0 ≠ α, β ∈ 𝔽.

• For
$$0 \neq \alpha, \beta \in \mathbb{F}$$
, let

$$\mathcal{S}_{lpha,eta} := \textit{span}_{\mathbb{F}^3} \left\{ lpha^{\pm 1}, eta^{\pm 1}, lpha^{\pm 1}eta^{\pm 1}
ight\}.$$

Then $\mathfrak{O}_{\alpha,\beta}$ is either isomorphic or antiisomorphic to $\mathfrak{O}_{\gamma,\delta}$ if and only if $S_{\alpha,\beta} = S_{\gamma,\delta}$.



2 Symmetric composition algebras



Let $(\mathcal{C}, *, n)$ be an eight-dimensional symmetric composition algebra. Write

$$L_x(y) = x * y = R_y(x).$$

$$L_x R_x = n(x)$$
id $= R_x L_x \implies \begin{pmatrix} 0 & L_x \\ R_x & 0 \end{pmatrix}^2 = n(x)$ id

Therefore, the map $x \mapsto \begin{pmatrix} 0 & L_x \\ R_x & 0 \end{pmatrix}$ extends to an isomorphism of algebras with involution

$$\Phi: (\mathfrak{Cl}(\mathfrak{C}, n), \tau) \longrightarrow (\mathsf{End}(\mathfrak{C} \oplus \mathfrak{C}), \sigma_{n \perp n})$$

Spin group

Consider the *spin group*:

$$\operatorname{Spin}(\mathfrak{C}, n) = \left\{ u \in \mathfrak{Cl}(\mathfrak{C}, n)_{\bar{0}}^{\times} : u \cdot \mathfrak{C} \cdot u^{-1} \subseteq \mathfrak{C}, \ u \cdot \tau(u) = 1 \right\}.$$

For any $u \in \text{Spin}(\mathcal{C}, n)$,

$$\Phi(u) = egin{pmatrix}
ho_u^- & 0 \ 0 &
ho_u^+ \end{pmatrix}$$

for some $ho_u^\pm \in \mathrm{O}(\mathfrak{C},n)$ such that

$$\chi_u(x*y) = \rho_u^+(x)*\rho_u^-(y)$$

for any $x, y \in \mathcal{C}$, where $\chi_u(x) = u \cdot x \cdot u^{-1}$.

The natural and the two half-spin representations are linked!

Theorem

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Let (C, *, n) be an eight-dimensional symmetric composition algebra. Then:

$$\begin{split} \operatorname{Spin}(\mathcal{C},n) &\simeq \{(f_0,f_1,f_2) \in \operatorname{O}^+(\mathcal{C},n)^3 : \\ f_0(x*y) &= f_1(x)*f_2(y) \quad \forall x,y \in \mathcal{C}\} \\ u &\mapsto \quad (\chi_u,\rho_u^+,\rho_u^-) \end{split}$$

Moreover, the set of related triples (the set on the right hand side) has cyclic symmetry.

The cyclic symmetry on the right hand side induces an outer automorphism of order 3 (*trialitarian automorphism*) of $Spin(\mathcal{C}, n)$.

Theorem

Let $(\mathcal{C}, *, n)$ be an eight-dimensional symmetric composition algebra. Then, for any $f_0 \in O'(\mathcal{C}, n)$, there are elements $f_1, f_2 \in O'(\mathcal{C}, n)$, unique up to scalar multiplication of both by -1, such that (f_0, f_1, f_2) is a related triple.

Remark

All this is functorial, and we get three exact sequences

$$1 \longrightarrow \mu_2 \longrightarrow \mathsf{Spin}(\mathbb{C}, n) \longrightarrow \mathbf{O}^+(\mathbb{C}, n) \longrightarrow 1.$$

Theorem (Chernousov, Knus, Tignol, E. 2012-2015)

- A simply connected simple group of type ¹D₄ admits trialitarian automorphisms if and only if it is isomorphic to Spin(n) for a 3-fold Pfister form; i.e., the norm of an eight-dimensional composition algebra.
- The set of conjugacy classes of these automorphisms is in one-to-one correspondence with the set of isomorphism classes of symmetric composition algebras with norm n.
- The groups of type ²D₄ and ⁶D₄ do not admit trialitarian automorphisms.
- The trialitarian automorphisms of the groups of type ³D₄ are related too to symmetric composition algebras.

Application: Freudenthal Magic Square Local principle of triality

Theorem

Let $(\mathbb{C}, *, n)$ be an eight-dimensional symmetric composition algebra. Then, for any $d_0 \in \mathfrak{so}(\mathbb{C}, n)$, there are unique elements $d_1, d_2 \in \mathfrak{so}(\mathbb{C}, n)$ such that $d_0(x * y) = d_1(x) * y + x * d_2(y)$, for any $x, y \in S$. Moreover,

- The map θ : $tri(\mathcal{C}, *, n) \rightarrow tri(\mathcal{C}, *, n)$, $(d_0, d_1, d_2) \mapsto (d_1, d_2, d_0)$, is a Lie algebra automorphism.
- Any of the projections $tri(\mathcal{C}, *, n) \to \mathfrak{so}(\mathcal{C}, n)$, $(d_0, d_1, d_2) \mapsto d_i$, is an isomorphism of Lie algebras.

The Lie algebra

$$\mathfrak{tri}(\mathbb{C},*,n) = \{ (d_0, d_1, d_2) \in \mathfrak{so}(\mathbb{C}, n)^3 : \\ d_0(x*y) = d_1(x)*y + x*d_2(y) \quad \forall x, y \in \mathbb{C} \}$$

is called the **triality Lie algebra** of $(\mathcal{C}, *, n)$.

Application: Freudenthal Magic Square Symmetric construction (E. 2004)

Let $(\mathcal{C}, *, n)$ and $(\mathcal{C}', \star, n')$ be two symmetric composition algebras over a field \mathbb{F} of characteristic $\neq 2$. One can construct a Lie algebra as follows:

$$\mathfrak{g} = \mathfrak{g}(\mathfrak{C}, \mathfrak{C}') = (\mathfrak{tri}(\mathfrak{C}) \oplus \mathfrak{tri}(\mathfrak{C}')) \oplus (\oplus_{i=0}^{2} \iota_{i}(\mathfrak{C} \otimes \mathfrak{C}')),$$

with bracket given by:

- the Lie bracket in tri(C) ⊕ tri(C'), which thus becomes a Lie subalgebra of g,
- $[(d_0, d_1, d_2), \iota_i(x \otimes x')] = \iota_i(d_i(x) \otimes x'),$
- $[(d'_0, d'_1, d'_2), \iota_i(x \otimes x')] = \iota_i(x \otimes d'_i(x')),$
- $[\iota_i(x \otimes x'), \iota_{i+1}(y \otimes y')] = \iota_{i+2}((x * y) \otimes (x' \star y'))$ (indices modulo 3),

•
$$[\iota_i(x \otimes x'), \iota_i(y \otimes y')] = \dots$$

Application: Freudenthal Magic Square

Symmetric construction

		dim \mathcal{C}'			
$\mathfrak{g}(\mathfrak{C},\mathfrak{C}')$		1	2	4	8
dim C	1	A_1	A_2	<i>C</i> ₃	F_4
	2	A_2	$A_2 \oplus A_2$	A_5	E_6
	4	<i>C</i> ₃	A_5	D_6	E ₇
	8	F_4	E_6	E ₇	E_8

Application: Freudenthal Magic Square

Symmetric construction: remarks

- In Freudenthal's approach to the Magic Square, each row corresponds to a different type of Geometry: Elliptic, Projective, Symplectic and 'Metasymplectic'. Tits construction (1966) of the Magic Square involves a Hurwitz algebra and a simple Jordan algebra of degree 3. None of these explain the symmetry of the Magic Square.
- Different symmetric constructions have been given lately: Vinberg (1966), Allison-Faulkner (1996), Barton-Sudbery and Landsberg-Manivel (2003). They are equivalent to the construction above using para-Hurwitz algebras.
- The symmetric construction with Okubo algebras provides nice models of the exceptional algebras. They have been used in the study of gradings by abelian groups on these algebras: Aranda-Orna, Draper, Guido, Kochetov, Martín-González, ...

In characteristic 3 there exist nontrivial *symmetric composition superalgebras*.

These can be used to enlarge Freudenthal Magic Square with new simple Lie superalgebras (Cunha-E. 2007).

Most simple nonclassical modular contragredient Lie superalgebras appear in this *Magic Supersquare*.

The saying that God is the mathematician, so that, even with meager experimental support, a mathematically beautiful theory will ultimately have a greater chance of being correct, has been attributed to Dirac. Octonion algebra may surely be called a beautiful mathematical entity.

It is possible that this and other non-associative algebras (other than Lie algebras) may play some essential future role in the ultimate theory, yet to be discovered.

Susumu Okubo

