

# Asymptotic uniform smoothness in spaces of compact operators

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## Asymptotic uniform smoothness and convexity

Consider a real Banach space  $X$  and let  $S_X$  be its unit sphere. For  $t > 0$ ,  $x \in S_X$  we shall consider

$$\bar{\delta}_X(t) = \inf_{x \in S_X} \sup_{\dim(X/Y) < \infty} \inf_{y \in S_Y} \|x + ty\| - 1;$$

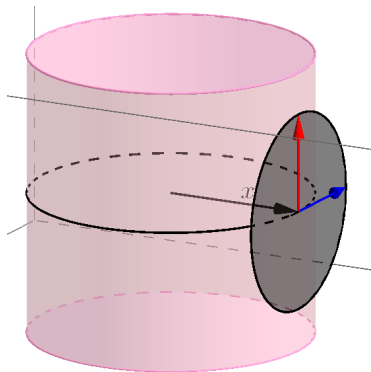
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The space  $X$  is said to be *asymptotically uniformly convex* (AUC for short) if

$$\bar{\delta}_X(t) > 0 \text{ for each } t > 0$$

and it is said to be *asymptotically uniformly smooth* (AUS for short) if

$$\lim_{t \rightarrow 0} t^{-1} \bar{\rho}_X(t) = 0$$

## Spaces of compact operators

For which Banach spaces  $X$  and  $Y$  is the space of compact operators  $\mathcal{K}(X, Y)$  an AUS space?

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Theorem (Dilworth–Kutzarova–Randrianarivony–Revalski–Zhivkov, 2013)

If  $1 < p, q < \infty$  then  $\mathcal{K}(\ell_p, \ell_q)$  is AUS with power type  $\min\{p', q\}$

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Recall that, under suitable assumptions,  $\mathcal{K}(X, Y)$  is isometric to the injective tensor product  $(X^* \otimes_{\varepsilon} Y, \|\cdot\|_{\varepsilon})$ .

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$$\left\| \sum_{i=1}^n x_i \otimes y_i \right\|_{\varepsilon} = \sup \left\{ \sum_{i=1}^n x^*(x_i) y^*(y_i) : x^* \in S_{X^*}, y^* \in S_{Y^*} \right\}$$

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We were able to answer that question in the particular case in which  $X$  and  $Y$  are strongly AUS spaces.

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$Sz(X \otimes_{\varepsilon} Y) = \max\{Sz(X), Sz(Y)\}$  for separable spaces  $X$  and  $Y$ .

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### Theorem (Causey, 2015)

$Sz(X \otimes_{\varepsilon} Y) = \max\{Sz(X), Sz(Y)\}$  for separable spaces  $X$  and  $Y$ .

In particular,  $X \otimes_{\varepsilon} Y$  admits an equivalent AUS norm if and only if  $X$  and  $Y$  do.



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# Motivation

A sequence  $(E_n)_n$  of finite dimensional subspaces of  $X$  is called a *finite dimensional decomposition* (FDD for short) if every  $x \in X$  has a unique representation of the form  $x = \sum_{n=1}^{\infty} x_n$ , with  $x_n \in E_n$  for every  $n$ .

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In addition, we shall denote  $H_n = \bigoplus_{i=1}^n E_i$  and  $H^\infty = \overline{\bigoplus_{i=1}^{\infty} E_i}$ .

Assume that there is a shrinking FDD  $(E_n)_n$  of  $X$ . For each  $t > 0$  we have:

$$\bar{\delta}_X(t) = \inf_{n \in \mathbb{N}} \sup_{m \geq n} \inf \{ \|x + ty\| - 1 : x \in H_n \cap S_X, y \in H^m \cap S_X \},$$

$$\bar{\rho}_X(t) = \sup_{n \in \mathbb{N}} \inf_{m \geq n} \sup \{ \|x + ty\| - 1 : x \in H_n \cap S_X, y \in H^m \cap S_X \}.$$

# Strongly AUC and strongly AUC spaces

## Definition

Let  $X$  a Banach space with a monotone FDD  $(E_n)$ . Denote  $H_n = \bigoplus_{i=1}^n E_i$  and  $H^\infty = \overline{\bigoplus_{i=1}^\infty E_i}$ .  $X$  is said to be *strongly AUC* with respect to  $(E_n)_n$  if the modulus defined by

$$\overline{s\delta}_{X,(E_n)}(t) = \inf\{\|x + ty\| - 1 : x \in H_n, y \in H^\infty, \|x\| = \|y\| = 1, n \in \mathbb{N}\}$$

satisfies that  $\overline{s\delta}_{X,(E_n)}(t) > 0$  for each  $t > 0$ .

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satisfies that  $\overline{s\delta}_{X,(E_n)}(t) > 0$  for each  $t > 0$ . The space  $X$  is said to be *strongly AUS* with respect to  $(E_n)_n$  if

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## Some examples

- a) If  $X$  is an  $\ell_p$ -sum of finite dimensional spaces,  $1 \leq p < \infty$ , then
- $$\overline{s\delta}_X(t) = \overline{s\rho}_X(t) = (1 + t^p)^{1/p} - 1.$$

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- b) If  $X$  is a  $c_0$ -sum of finite dimensional spaces, then  $X$  is strongly AUS and  $\overline{s\rho}_X(t) = 0$  for each  $t \in (0, 1]$ .

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- c) The James space  $J$  with the norm

$$\|(x_n)_n\| = \sup_{1 \leq n_1 < \dots < n_{2m+1}} \left( \sum_{i=1}^m (x_{n_{2i-1}} - x_{n_{2i}})^2 + 2(x_{n_{2m+1}})^2 \right)^{1/2}$$

given by Prus is strongly AUS and  $\overline{s\rho}_J(t) \leq (1 + 2t^2)^{1/2} - 1$ .



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- e) Every uniformly smooth (resp. uniformly convex) space with a monotone FDD is strongly AUS (resp. strongly AUC).

## Properties of strongly AUC and strongly AUS spaces

Let  $X$  be a Banach space with a monotone FDD  $(E_n)_n$ .

- a) If  $X$  is strongly AUS w.r.t.  $(E_n)_n$  then  $(E_n)_n$  is shrinking.
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Let  $X$  be a Banach space with a monotone *shrinking* FDD and  $0 < \sigma, \tau < 1$ . Then

- a) If  $\overline{\rho}_X(\sigma) < \sigma\tau$ , then  $\overline{s\delta}_{X^*}(3\tau) \geq \sigma\tau$ .
- b) If  $\overline{s\delta}_{X^*}(\tau) > \sigma\tau$ , then  $\overline{\rho}_X(\sigma) \leq \sigma\tau$ .

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- b) If  $\overline{s\delta}_{X^*}(\tau) > \sigma\tau$ , then  $\overline{\rho}_X(\sigma) \leq \sigma\tau$ .

Thus,  $X$  is strongly AUS with power type  $p$  if and only if  $X^*$  is strongly AUC with power type  $p'$ , the conjugate exponent of  $p$ .

## AUS tensor products

Let  $X, Y$  be Banach spaces admitting monotone FDDs. Then

$$\bar{\rho}_{X \otimes_{\varepsilon} Y}(t) \leq (1 + \bar{\rho}_X(4t))(1 + \bar{\rho}_Y(4t)) - 1$$

for every  $0 < t < 1/4$ .



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- Assume  $X$  is strongly AUS with power type  $p$  and  $Y$  is strongly AUS with power type  $q$ . Then  $X \otimes_{\varepsilon} Y$  is AUS with power type  $\min\{p, q\}$ .

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- Assume that  $X$  is strongly AUC with power type  $p$  w.r.t. an shrinking FDD, and  $Y$  is strongly AUS with power type  $q$ . Then  $\mathcal{K}(X, Y)$  is AUS with power type  $\min\{p', q\}$ .

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## Orlicz spaces

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$$h_M = \{(x_n) \in \mathbb{R}^{\mathbb{N}} : \sum_{n=1}^{\infty} M(|x_n|/\rho) < +\infty \text{ for some } \rho > 0\}$$

endowed with the *Luxemburg norm*

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The *Boyd indices* of an Orlicz function  $M$  are defined as follows:

$$\alpha_M = \sup\{q : \sup_{0 < u, v \leq 1} \frac{M(uv)}{u^q M(v)} < +\infty\}$$

$$\beta_M = \inf\{q : \inf_{0 < u, v \leq 1} \frac{M(uv)}{u^q M(v)} > 0\}$$

# AUS and AUC Orlicz spaces

## Theorem (Gonzalo–Jaramillo–Troyanski, 2007)

*$h_M$  is AUS if  $\alpha_M > 1$ . Moreover,  $\alpha_M$  is the supremum of the numbers  $\alpha > 1$  such that the modulus of asymptotic smoothness of  $h_M$  is of power type  $\alpha$ .*



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## Theorem (Borel-Mathurin, 2010)

*$h_M$  is AUC if  $\beta_M < \infty$ , and  $\beta_M$  is the infimum of the numbers  $\beta > 0$  such that its modulus of asymptotic convexity is of power type  $\beta$ .*

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Moreover, their proofs show that  $h_M$  is strongly AUS (resp. strongly AUC) whenever it is AUS (resp. AUC).

# Compact operators on Orlicz spaces

Let  $M, N$  be Orlicz functions. The space  $\mathcal{K}(h_M, h_N)$  is AUS if and only if  $\alpha_M, \alpha_N > 1$  and  $\beta_M < +\infty$ .

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Let  $M, N$  be Orlicz functions such that  $\alpha_M, \alpha_N > 1$  and  $\beta_N < \infty$ . Then  $\mathcal{N}(h_M, h_N^*)$  has the weak\* fixed point property.

# References



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Thank you for your attention