

Convexidad uniforme y cotipos en espacios de Banach

Luis C. García-Lirola

Joint work with Matías Raja

University of Zaragoza

Congreso Bienal RSME, Ciudad Real
17 de enero, 2022

Unconditional convergence

X =infinite-dimensional Banach space

- $\sum_{n=1}^{\infty} x_n$ is *unconditionally convergent* if and only if $\sum_{n=1}^{\infty} \pm x_n$ converges for every choice of signs.

Unconditional convergence

X =infinite-dimensional Banach space

- $\sum_{n=1}^{\infty} x_n$ is *unconditionally convergent* if and only if $\sum_{n=1}^{\infty} \pm x_n$ converges for every choice of signs.
- [Dvoretzky-Rogers, 1950](#): for every X , there exists an unconditionally convergent series in X which is not absolutely convergent.

Unconditional convergence

X =infinite-dimensional Banach space

- $\sum_{n=1}^{\infty} x_n$ is *unconditionally convergent* if and only if $\sum_{n=1}^{\infty} \pm x_n$ converges for every choice of signs.
- **Dvoretzky-Rogers, 1950**: for every X , there exists an unconditionally convergent series in X which is not absolutely convergent.
- **Orlicz, 1930**. If $\sum_{n=1}^{\infty} x_n$ converges unconditionally in L^p with $1 < p < +\infty$ then

$$\sum_{n=1}^{\infty} \|x_n\|^{\max\{p,2\}} < +\infty$$

Unconditional convergence

X =infinite-dimensional Banach space

- $\sum_{n=1}^{\infty} x_n$ is *unconditionally convergent* if and only if $\sum_{n=1}^{\infty} \pm x_n$ converges for every choice of signs.
- **Dvoretzky-Rogers, 1950**: for every X , there exists an unconditionally convergent series in X which is not absolutely convergent.
- **Orlicz, 1930**. If $\sum_{n=1}^{\infty} x_n$ converges unconditionally in L^p with $1 < p < +\infty$ then

$$\sum_{n=1}^{\infty} \|x_n\|^{\max\{p,2\}} < +\infty$$

- **Kadec, 1956**. If $\sum_{n=1}^{\infty} x_n$ converges unconditionally in X then

$$\sum_{n=1}^{\infty} \delta_X(\|x_n\|) < +\infty$$

where δ_X is the *modulus of uniform convexity* of X .

Uniform convexity

The **modulus of uniform convexity** of X is given by

$$\delta_X(t) = \inf\left\{1 - \left\|\frac{x+y}{2}\right\| : \|x\| = \|y\| = 1, \|x-y\| \geq t\right\}$$

X is **uniformly convex** if $\delta_X(t) > 0$ for every $t \in (0, 2]$

Uniform convexity

The **modulus of uniform convexity** of X is given by

$$\delta_X(t) = \inf\left\{1 - \left\|\frac{x+y}{2}\right\| : \|x\| = \|y\| = 1, \|x-y\| \geq t\right\}$$

X is **uniformly convex** if $\delta_X(t) > 0$ for every $t \in (0, 2]$

- $\delta_{L_p}(t) \sim t^{\max\{p, 2\}}$ ($1 < p < \infty$)
- $\delta_X(t) \leq \delta_H(t) \sim t^2$

Uniform convexity

The **modulus of uniform convexity** of X is given by

$$\delta_X(t) = \inf\left\{1 - \left\|\frac{x+y}{2}\right\| : \|x\| = \|y\| = 1, \|x-y\| \geq t\right\}$$

X is **uniformly convex** if $\delta_X(t) > 0$ for every $t \in (0, 2]$

- $\delta_{L_p}(t) \sim t^{\max\{p, 2\}}$ ($1 < p < \infty$)
- $\delta_X(t) \leq \delta_H(t) \sim t^2$
- A space is superreflexive if and only if it has a uniformly convex renorming ([Enflo](#)),

Uniform convexity

The **modulus of uniform convexity** of X is given by

$$\delta_X(t) = \inf\left\{1 - \left\|\frac{x+y}{2}\right\| : \|x\| = \|y\| = 1, \|x-y\| \geq t\right\}$$

X is **uniformly convex** if $\delta_X(t) > 0$ for every $t \in (0, 2]$

- $\delta_{L_p}(t) \sim t^{\max\{p, 2\}}$ ($1 < p < \infty$)
- $\delta_X(t) \leq \delta_H(t) \sim t^2$
- A space is superreflexive if and only if it has a uniformly convex renorming (**Enflo**), moreover one can get $\delta_X(t) \geq ct^p$ for some $p \geq 2$, $c > 0$ (**Pisier**).

Generalized cotypes

$$\text{Kadec: } \sup_{\varepsilon_k = \pm 1} \sum_{k=1}^n \varepsilon_k x_k \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) < +\infty$$

Generalized cotypes

$$\text{Kadec: } \sup_{\varepsilon_k = \pm 1} \sum_{k=1}^n \varepsilon_k x_k \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) < +\infty$$

$$\text{Figiel-Pisier, 1974: } \exists C > 0 : \int_0^1 \left\| \sum_{k=1}^n r_k(t) x_k \right\| dt \leq 1 \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) \leq C$$

Generalized cotypes

$$\text{Kadec: } \sup_{\varepsilon_k = \pm 1} \sum_{k=1}^n \varepsilon_k x_k \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) < +\infty$$

$$\text{Figiel-Pisier, 1974: } \exists C > 0 : \int_0^1 \left\| \sum_{k=1}^n r_k(t) x_k \right\| dt \leq 1 \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) \leq C$$

(Key idea: δ_X is equivalent to $\delta_{L_2(X)}$)

Generalized cotypes

$$\text{Kadec: } \sup_{\varepsilon_k = \pm 1} \sum_{k=1}^n \varepsilon_k x_k \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) < +\infty$$

$$\text{Figiel-Pisier, 1974: } \exists C > 0 : \int_0^1 \left\| \sum_{k=1}^n r_k(t) x_k \right\| dt \leq 1 \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) \leq C$$

(Key idea: δ_X is equivalent to $\delta_{L_2(X)}$)

Definition (Figiel)

A function ϕ satisfying

$$\exists C > 0 : \int_0^1 \left\| \sum_{k=1}^n r_k(t) x_k \right\| dt \leq 1 \Rightarrow \sum_{k=1}^n \phi(\|x_k\|) \leq C$$

is called a **generalized cotype** of X .

Generalized cotypes

$$\text{Kadec: } \sup_{\varepsilon_k = \pm 1} \sum_{k=1}^n \varepsilon_k x_k \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) < +\infty$$

$$\text{Figiel-Pisier, 1974: } \exists C > 0 : \int_0^1 \left\| \sum_{k=1}^n r_k(t) x_k \right\| dt \leq 1 \Rightarrow \sum_{k=1}^n \delta_X(\|x_k\|) \leq C$$

(Key idea: δ_X is equivalent to $\delta_{L_2(X)}$)

Definition (Figiel)

A function ϕ satisfying

$$\exists C > 0 : \int_0^1 \left\| \sum_{k=1}^n r_k(t) x_k \right\| dt \leq 1 \Rightarrow \sum_{k=1}^n \phi(\|x_k\|) \leq C$$

is called a **generalized cotype** of X .

Clearly, X has Rademacher cotype p if and only if $t \mapsto t^p$ is a generalized cotype.

Uniform convexity and generalized cotypes

Theorem (Figiel-Pisier, 1974)

Every modulus of convexity is a generalized cotype.

Uniform convexity and generalized cotypes

Theorem (Figiel-Pisier, 1974)

Every modulus of convexity is a generalized cotype.

Question: Let X be a superreflexive space and ϕ be a generalized cotype of X . Does there exist an equivalent norm $\|\cdot\|$ on X so that $\delta_{\|\cdot\|}(t) \geq c\phi(t)$?

Uniform convexity and generalized cotypes

Theorem (Figiel-Pisier, 1974)

Every modulus of convexity is a generalized cotype.

Question: Let X be a superreflexive space and ϕ be a generalized cotype of X . Does there exist an equivalent norm $\|\cdot\|$ on X so that $\delta_{\|\cdot\|}(t) \geq c\phi(t)$?

Figiel, 1975: YES if X has an unconditional basis (more generally, l.u.st.).

Uniform convexity and generalized cotypes

Theorem (Figiel-Pisier, 1974)

Every modulus of convexity is a generalized cotype.

Question: Let X be a superreflexive space and ϕ be a generalized cotype of X . Does there exist an equivalent norm $\|\cdot\|$ on X so that $\delta_{\|\cdot\|}(t) \geq c\phi(t)$?

Figiel, 1975: YES if X has an unconditional basis (more generally, l.u.st.).

Theorem (GL-Raja, 2021)

YES if X is a UMD space.

Uniform convexity and generalized cotypes

Theorem (Figiel-Pisier, 1974)

Every modulus of convexity is a generalized cotype.

Question: Let X be a superreflexive space and ϕ be a generalized cotype of X . Does there exist an equivalent norm $\|\cdot\|$ on X so that $\delta_{\|\cdot\|}(t) \geq c\phi(t)$?

Figiel, 1975: YES if X has an unconditional basis (more generally, l.u.st.).

Theorem (GL-Raja, 2021)

YES if X is a UMD space.

- X has the *unconditional martingale difference property* (UMD) if for some (eq. for every) $1 < p < \infty$, for every X -valued martingale (f_n) bounded in $L_p(X)$, the series $\sum_{n=1}^{\infty} (f_{n+1} - f_n)$ converges unconditionally.

Uniform convexity and generalized cotypes

Theorem (Figiel-Pisier, 1974)

Every modulus of convexity is a generalized cotype.

Question: Let X be a superreflexive space and ϕ be a generalized cotype of X . Does there exist an equivalent norm $\|\cdot\|$ on X so that $\delta_{\|\cdot\|}(t) \geq c\phi(t)$?

Figiel, 1975: YES if X has an unconditional basis (more generally, l.u.st.).

Theorem (GL-Raja, 2021)

YES if X is a UMD space.

- X has the *unconditional martingale difference property* (UMD) if for some (eq. for every) $1 < p < \infty$, for every X -valued martingale (f_n) bounded in $L_p(X)$, the series $\sum_{n=1}^{\infty} (f_{n+1} - f_n)$ converges unconditionally.
- L_p , $1 < p < \infty$ and reflexive Orlicz spaces are UMD.
- UMD \Rightarrow superreflexive, but not conversely (first examples by Pisier and Bourgain; $L_p(L_q(L_p(L_q(\dots))))$ (Qiu, 2012)).

Uniform convexity and generalized cotypes

Theorem (GL-Raja, 2021)

Let X be a UMD space and ϕ be a generalized cotype of X . Then there exist an equivalent norm $\|\cdot\|$ on X so that $\delta_{\|\cdot\|}(t) \geq c\phi(t)$.

Uniform convexity and generalized cotypes

Theorem (GL-Raja, 2021)

Let X be a UMD space and ϕ be a generalized cotype of X . Then there exist an equivalent norm $\|\cdot\|$ on X so that $\delta_{\|\cdot\|}(t) \geq c\phi(t)$.

Some ideas about the proof...

- The first task was to find an expression for the generalized cotype almost equivalent to the homogeneity of the classic cotype.

$$\sum_{k=1}^n \phi(\|x_k\|) \leq \Phi \left(\int_0^1 \left\| \sum_{k=1}^n r_k(t)x_k \right\| dt \right)$$

where Φ can be taken convex and such that $\Phi(t^{1/q})$ is concave for some $q \geq 2$ thanks to the work of Figiel.

Uniform convexity and generalized cotypes

Theorem (GL-Raja, 2021)

Let X be a UMD space and ϕ be a generalized cotype of X . Then there exist an equivalent norm $\|\cdot\|$ on X so that $\delta_{\|\cdot\|}(t) \geq c\phi(t)$.

Some ideas about the proof...

- The first task was to find an expression for the generalized cotype almost equivalent to the homogeneity of the classic cotype.

$$\sum_{k=1}^n \phi(\|x_k\|) \leq \Phi \left(\int_0^1 \left\| \sum_{k=1}^n r_k(t)x_k \right\| dt \right)$$

where Φ can be taken convex and such that $\Phi(t^{1/q})$ is concave for some $q \geq 2$ thanks to the work of Figiel.

- From that we get that

$$\sum_{n=1}^{\infty} \int_0^1 \phi(\|f_n(s)\|) ds < +\infty$$

whenever the series $\sum_{n=1}^{\infty} f_n$ is unconditionally convergent in $L^q(X)$.

Best modulus of uniform convexity

Consider the order $\phi \preceq \psi$ if there is a constant $c > 0$ such that $\phi(t) \leq c \psi(t)$ for all $t \in (0, 1]$. If $\phi \preceq \psi$ and $\psi \preceq \phi$, then we say that ϕ and ψ are *equivalent*.

Best modulus of uniform convexity

Consider the order $\phi \preceq \psi$ if there is a constant $c > 0$ such that $\phi(t) \leq c \psi(t)$ for all $t \in (0, 1]$. If $\phi \preceq \psi$ and $\psi \preceq \phi$, then we say that ϕ and ψ are *equivalent*.

Theorem (Raja, 2015)

Let X be a superreflexive Banach space. There exists a positive decreasing submultiplicative function $\mathfrak{N}_X(t)$ defined on $(0, 1]$ such that $\mathfrak{N}_X(t)^{-1}$ is the supremum, up to equivalence, with respect to the order \preceq of the set

$$\{\delta_{\|\cdot\|}(t) : \|\cdot\| \text{ is an equivalent norm on } X\}.$$

Best modulus of uniform convexity

Consider the order $\phi \preceq \psi$ if there is a constant $c > 0$ such that $\phi(t) \leq c \psi(t)$ for all $t \in (0, 1]$. If $\phi \preceq \psi$ and $\psi \preceq \phi$, then we say that ϕ and ψ are *equivalent*.

Theorem (Raja, 2015)

Let X be a superreflexive Banach space. There exists a positive decreasing submultiplicative function $\mathfrak{N}_X(t)$ defined on $(0, 1]$ such that $\mathfrak{N}_X(t)^{-1}$ is the supremum, up to equivalence, with respect to the order \preceq of the set

$$\{\delta_{\|\cdot\|}(t) : \|\cdot\| \text{ is an equivalent norm on } X\}.$$

For L_p and Orlicz spaces, the supremum is attained (at the usual norm).

Best modulus of uniform convexity

Consider the order $\phi \preceq \psi$ if there is a constant $c > 0$ such that $\phi(t) \leq c \psi(t)$ for all $t \in (0, 1]$. If $\phi \preceq \psi$ and $\psi \preceq \phi$, then we say that ϕ and ψ are *equivalent*.

Theorem (Raja, 2015)

Let X be a superreflexive Banach space. There exists a positive decreasing submultiplicative function $\mathfrak{N}_X(t)$ defined on $(0, 1]$ such that $\mathfrak{N}_X(t)^{-1}$ is the supremum, up to equivalence, with respect to the order \preceq of the set

$$\{\delta_{\|\cdot\|}(t) : \|\cdot\| \text{ is an equivalent norm on } X\}.$$

For L_p and Orlicz spaces, the supremum is attained (at the usual norm).

Figiel 1978: there exist a Banach space X with an unconditional basis for which there are no best uniformly convex renorming nor a best generalized cotype.

Best modulus of uniform convexity

Consider the order $\phi \preceq \psi$ if there is a constant $c > 0$ such that $\phi(t) \leq c \psi(t)$ for all $t \in (0, 1]$. If $\phi \preceq \psi$ and $\psi \preceq \phi$, then we say that ϕ and ψ are *equivalent*.

Theorem (Raja, 2015)

Let X be a superreflexive Banach space. There exists a positive decreasing submultiplicative function $\mathfrak{N}_X(t)$ defined on $(0, 1]$ such that $\mathfrak{N}_X(t)^{-1}$ is the supremum, up to equivalence, with respect to the order \preceq of the set

$$\{\delta_{\|\cdot\|} (t) : \|\cdot\| \text{ is an equivalent norm on } X\}.$$

For L_p and Orlicz spaces, the supremum is attained (at the usual norm).

Figiel 1978: there exist a Banach space X with an unconditional basis for which there are no best uniformly convex renorming nor a best generalized cotype.

Theorem (GL-Raja, 2021)

Let X be a superreflexive Banach space. Then $\mathfrak{N}_X(t) \sim Sz(B_{L^2(X)}, t)$.

Theorem (GL-Raja, 2021)

Let X be a superreflexive Banach space. Then $\mathfrak{N}_X(t) \sim Sz(B_{L_2(X)}, t)$.

Theorem (GL-Raja, 2021)

Let X be a superreflexive Banach space. Then $\mathfrak{N}_X(t) \sim Sz(B_{L_2(X)}, t)$.

- $Sz =$ Szlenk index.
- In the case of $L_2(X)$, it is equivalent to the *dentability index* and the *convex Szlenk index*.

Theorem (GL-Raja, 2021)

Let X be a superreflexive Banach space. Then $\mathfrak{N}_X(t) \sim Sz(B_{L_2(X)}, t)$.

- $Sz =$ Szlenk index.
- In the case of $L_2(X)$, it is equivalent to the *dentability index* and the *convex Szlenk index*.
- From [Godefroy-Kalton-Lancien \(2001\)](#), we get that $Sz(B_{L_2(X)}, t)$ is the supremum of the modulus of *asymptotic uniform convexity* of equivalent norms in $L_2(X)$.
- For every AUC norm on $L_2(X)$ there is an equivalent UC norm on X preserving the modulus.

Theorem (GL-Raja, 2021)

Let X be a superreflexive Banach space. Then $\mathfrak{N}_X(t) \sim Sz(B_{L_2(X)}, t)$.







- $Sz = Szlenk$ index.
- In the case of $L_2(X)$, it is equivalent to the *dentability index* and the *convex Szlenk index*.
- From [Godefroy-Kalton-Lancien \(2001\)](#), we get that $Sz(B_{L_2(X)}, t)$ is the supremum of the modulus of *asymptotic uniform convexity* of equivalent norms in $L_2(X)$.
- For every AUC norm on $L_2(X)$ there is an equivalent UC norm on X preserving the modulus.







Indeed the argument also works for $L_p(X)$. As a byproduct we obtain:

Corollary (GL-Raja, 2021)

Let $1 < r, p < \infty$. Assume that $L_r(X)$ has an AUC renorming with power type p . Then X has a UC renorming of power type p .

This answers a question from [C.L. García-W.B. Johnson \(2003\)](#).

-  Figiel, T. “Uniformly convex norms on Banach lattices”. In: *Studia Math.* 68.3 (1980), pp. 215–247.
-  Figiel, T. and G. Pisier. “Séries aléatoires dans les espaces uniformément convexes ou uniformément lisses”. In: *C. R. Acad. Sci. Paris Sér. A* 279 (1974), pp. 611–614.
-  García, C.L. and W.B. Johnson. “Power type uniform convexity of X via p -asymptotic uniform convexity of $L_r(X)$ ”. In: *Houston J. Math.* 29.2 (2003), pp. 393–402.
-  García-Lirola, Luis C. and Matías Raja. “Uniformly convex renormings and generalized cotypes”. In: *Adv. Math.* 383 (2021), Paper No. 107679, 23.
-  Godefroy, G., N. J. Kalton, and G. Lancien. “Szlenk indices and uniform homeomorphisms”. In: *Trans. Amer. Math. Soc.* 353.10 (2001), pp. 3895–3918.
-  Raja, M. “Finite slicing in superreflexive Banach spaces”. In: *J. Funct. Anal.* 268.9 (2015), pp. 2672–2694.

-  Figiel, T. “Uniformly convex norms on Banach lattices”. In: *Studia Math.* 68.3 (1980), pp. 215–247.
-  Figiel, T. and G. Pisier. “Séries aléatoires dans les espaces uniformément convexes ou uniformément lisses”. In: *C. R. Acad. Sci. Paris Sér. A* 279 (1974), pp. 611–614.
-  García, C.L. and W.B. Johnson. “Power type uniform convexity of X via p -asymptotic uniform convexity of $L_r(X)$ ”. In: *Houston J. Math.* 29.2 (2003), pp. 393–402.
-  García-Lirola, Luis C. and Matías Raja. “Uniformly convex renormings and generalized cotypes”. In: *Adv. Math.* 383 (2021), Paper No. 107679, 23.
-  Godefroy, G., N. J. Kalton, and G. Lancien. “Szlenk indices and uniform homeomorphisms”. In: *Trans. Amer. Math. Soc.* 353.10 (2001), pp. 3895–3918.
-  Raja, M. “Finite slicing in superreflexive Banach spaces”. In: *J. Funct. Anal.* 268.9 (2015), pp. 2672–2694.

Thank you for your attention!