# Linearization of holomorphic Lipschitz mappings 

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Joint work with R. Aron, V. Dimant and M. Maestre

Universidad de Zaragoza
ÆSY TO DEFINE, HARD TO ANALYSE
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## Outline

1) Holomorphic functions and the holomorphic free space
2) The holomorphic Lipschitz free space
3) Approximation properties
4) Extension of holomorphic Lipschitz functions

## Holomorphic functions

- $X, Y=$ complex Banach spaces
- $U \subset X$ open subset
- $B_{X}=$ open unit ball of $X, \quad S_{X}=$ unit sphere of $X$


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A function $f: U \rightarrow Y$ is said to be holomorphic at $x_{0} \in U$ if it is Fréchet differentiable at $x_{0}$ : there is $d f\left(x_{0}\right) \in \mathcal{L}(X, Y)$ with

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\lim _{h \rightarrow 0} \frac{f\left(x_{0}+h\right)-f\left(x_{0}\right)-d f\left(x_{0}\right)(h)}{\|h\|}=0
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Equivalently, there is a sequence $\left(P_{k} f\left(x_{0}\right)\right)_{k}$ of continuous $k$-homogeneous polynomials such that

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f(x)=\sum_{k=0}^{\infty} P_{k} f\left(x_{0}\right)\left(x-x_{0}\right)
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uniformly in some neighbourhood of $x_{0}$.

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uniformly in some neighbourhood of $x_{0}$. $f: U \rightarrow Y$ is holomorphic $\Leftrightarrow y^{*} \circ f$ is holomorphic $\forall y^{*} \in Y^{*}$.

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## Theorem (Mujica, 1991)

There is a Banach space $\mathcal{G}^{\infty}(U)$ and a holomorphic bounded map $\delta: U \rightarrow \mathcal{G}^{\infty}(U)$ satisfying the linearization property of the diagram.


Thus $\mathcal{L}\left(\mathcal{G}^{\infty}(U), Y\right)=\mathcal{H}^{\infty}(U, Y)$, in particular $\mathcal{G}^{\infty}(U)^{*} \equiv \mathcal{H}^{\infty}(U)$.

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There is a recent survey by García Sánchez - De Hevia - Tradacete.

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$X$ is (linearly) isometric to a 1-complemented subspace of $\mathcal{G}_{0}\left(B_{X}\right)$.

## The unit ball of $\mathcal{G}_{0}\left(B_{X}\right)$

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- About the extreme points...
- The unit ball of $\mathcal{G}_{0}(\mathbb{D}) \equiv \mathcal{G}^{\infty}(\mathbb{D})$ does not have extreme points.
- $e_{x, y}$ is not a extreme point.


## Relation with $\mathcal{F}\left(B_{X}\right)$ and $\mathcal{G}^{\infty}\left(B_{X}\right)$

- The map

$$
\begin{aligned}
\mathcal{H} L_{0}\left(B_{X}\right) & \rightarrow \operatorname{Lip}_{0}\left(B_{X}\right) \\
f & \mapsto f
\end{aligned}
$$

is an into isometry. It is the adjoint of the quotient operator

$$
\begin{aligned}
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\begin{aligned}
\Psi: \mathcal{G}^{\infty}(B X) \widehat{\otimes}_{\pi} X & \rightarrow \mathcal{G}_{0}\left(B_{X}\right) \\
\delta(x) \otimes y & \mapsto e_{x, y}
\end{aligned}
$$

## Approximation properties

- $X$ has the Approximation Property (AP) if the identity $I: X \rightarrow X$ can be approximated by finite-rank operators in $\mathcal{L}(X, X)$ uniformly on compact sets.
- If the operators can be taken with norm $\leqslant \lambda$ then we say that $X$ has the $\lambda$-Bounded Approximation Property ( $\lambda$-BAP).
- If $\lambda=1$ then we say that $X$ has the Metric Approximation Property (MAP).


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## MAP for $\mathcal{G}_{0}\left(B_{X}\right)$

First we show:
a) Given $f \in \mathcal{H} L\left(B_{X}, Y\right)$ with $\|f\|_{L} \leqslant 1$, there are polinomials $P_{n}: X \rightarrow Y$ with $\left\|\left.P_{n}\right|_{B_{X}}\right\|_{L} \leqslant 1$ and $P_{n}(x) \rightarrow f(x)$ for all $x \in B_{X}$.

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b) Assume that $X$ has the MAP with $T_{\alpha} \rightarrow I$ pointwise. For each polynomial $P: X \rightarrow Y$ there are finite-type polinomials $P_{\alpha}=P \circ T_{\alpha}$ with $\left\|\left.P_{\alpha}\right|_{B_{X}}\right\|_{L} \leqslant\left\|\left.P\right|_{B_{X}}\right\|_{L}$ and $P_{\alpha}(x) \rightarrow P(x)$ for all $x \in B_{X}$.

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b) Assume that $X$ has the MAP with $T_{\alpha} \rightarrow I$ pointwise. For each polynomial $P: X \rightarrow Y$ there are finite-type polinomials $P_{\alpha}=P \circ T_{\alpha}$ with $\left\|\left.P_{\alpha}\right|_{B_{X}}\right\|_{L} \leqslant\left\|\left.P\right|_{B_{X}}\right\|_{L}$ and $P_{\alpha}(x) \rightarrow P(x)$ for all $x \in B_{X}$.
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Then $T_{P_{\alpha}}$ has finite rank, $\left\|T_{P_{\alpha}}\right\| \leqslant 1$ and

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T_{P_{\alpha}}(\delta(x))=P_{\alpha}(x) \rightarrow \delta(x)=I d(\delta(x))
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so $T_{P_{\alpha}} \rightarrow l d$ pointwise on $\operatorname{span}(\delta(x))$. Since $\left(T_{P_{\alpha}}\right)$ is bounded, the same holds for the closure.

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Mujica identified the topology $\tau_{\gamma}$ on $\mathcal{G}^{\infty}\left(B_{X}\right)$ such that

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To get the corresponding result for $\mathcal{G}_{0}\left(B_{X}\right)$, first we identify the compact sets:
If $K \subset \mathcal{G}_{0}\left(B_{X}\right)$ is norm-compact, then $K \subset \overline{\operatorname{aconv}}\left(\left\{\alpha_{j} m_{x_{j} y_{j}}\right\}\right)$ for some $\left(\alpha_{j}\right) \in c_{0}$ and $\left(x_{j}, y_{j}\right) \subset\left(B_{X} \times B_{X}\right) \backslash \Delta$.

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Let $\tau_{\gamma}$ be the locally convex topology on $\mathcal{H} L_{0}\left(B_{X}, Y\right)$ generated by the seminorms $p(f)=\sup _{j} \alpha_{j} \frac{\left\|f\left(x_{j}\right)-f\left(y_{j}\right)\right\|}{\left\|x_{j}-y_{j}\right\|}$ where $\left(\alpha_{j}\right) \in c_{0},\left(x_{j}, y_{j}\right) \subset\left(B_{X} \times B_{X}\right) \backslash \Delta$ and $\alpha_{j}>0$. Then we have a homeomorphism:

$$
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\left(\mathcal{H} L_{0}\left(B_{X}, Y\right), \tau_{\gamma}\right) & \rightarrow\left(\mathcal{L}\left(\mathcal{G}_{0}\left(B_{X}\right), Y\right), \tau_{0}\right) \\
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AP for $\mathcal{G}_{0}\left(B_{X}\right)$
Our goal is to find a net $\left(P_{\alpha}\right), P_{\alpha}: X \rightarrow \mathcal{G}_{0}\left(B_{X}\right)$ of finite-type polynomials with $P_{\alpha} \xrightarrow{\tau_{\gamma}} \delta$.

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- It suffices to do that for $m$-homogeneous polynomials.


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- It suffices to do that for $m$-homogeneous polynomials.
- Since $X$ has AP, there are finite-rank operators $T_{\alpha}: X \rightarrow X$ with $T_{\alpha} \xrightarrow{\tau_{0}} I d$.
- Given $P \in \mathcal{P}\left({ }^{m} X, \mathcal{G}_{0}(B X)\right)$, we have $P \circ T_{\alpha} \in \mathcal{P}\left({ }^{m} X, \mathcal{G}_{0}\left(B_{X}\right)\right)$ and $P \circ T_{\alpha} \xrightarrow{\tau_{0}} P$.


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- Since $X$ has AP, there are finite-rank operators $T_{\alpha}: X \rightarrow X$ with $T_{\alpha} \xrightarrow{\tau_{0}} I d$.
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Hence, we just need to show:


## Lemma

$\tau_{0}$ and $\tau_{\gamma}$ coincide on $\mathcal{P}\left({ }^{m} X, Y\right)$.

Given one of the seminorms $p$ in the definition of $\tau_{\gamma}$, we'll see there are $C>0$ and a compact $K$ such that $p(P) \leqslant C \sup _{x \in K}\|P(x)\| \forall P \in \mathcal{P}\left({ }^{m} X, Y\right)$.

$$
p(P)=\sup _{j} \alpha_{j} \frac{\left\|P\left(x_{j}\right)-P\left(y_{j}\right)\right\|}{\left\|x_{j}-y_{j}\right\|}=\sup _{j} \frac{\left\|P\left(\alpha_{j}^{1 / m} x_{j}\right)-P\left(\alpha_{j}^{1 / m} y_{j}\right)\right\|}{\left\|x_{j}-y_{j}\right\|}
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& \leqslant \sum_{k=1}^{m}\binom{m}{k} \sup _{a \in K_{1}, b \in K_{2}}\left\|\check{P}\left(a^{k}, b^{m-k}\right)\right\|
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for some compact sets $K_{1}, K_{2}$.

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for some compact sets $K_{1}, K_{2}$. Now,

$$
\check{P}\left(a^{k}, b^{m-k}\right)=\frac{1}{2^{m} m!} \sum_{\varepsilon_{i}= \pm 1} \varepsilon_{1} \cdots \varepsilon_{m} P\left(\left(\sum_{i=1}^{k} \varepsilon_{i}\right) a+\left(\sum_{i=k+1}^{m} \varepsilon_{i}\right) b\right) .
$$

So there is a compact set $K$ such that $p(P) \leqslant \frac{2^{m}-1}{m!} \sup _{x \in K}\|P(x)\|$.

## Extension of holomorphic Lipschitz functions

If $X \subset Y$, we have a map

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\begin{aligned}
\rho: \mathcal{G}_{0}\left(B_{X}\right) & \rightarrow \mathcal{G}_{0}\left(B_{Y}\right) \\
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There is no McShane's extension theorem!

## Aron-Berner, 1978

Let $P: \ell_{2} \rightarrow \mathbb{C}$ given by $P(x)=\sum_{n=1}^{\infty} x_{n}^{2}$ and consider an embedding $\ell_{2} \hookrightarrow \ell_{\infty}$. There does not exists $f: B_{\ell_{\infty}} \rightarrow \mathbb{C}$ holomorphic extending $\left.P\right|_{B_{\ell_{2}}}$.

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Still, there are some cases where we know that $\rho$ is an isometry. For instance, if $X$ is 1-complemented in $Y$.

## When $\mathcal{G}_{0}\left(B_{X}\right) \subset \mathcal{G}_{0}\left(B_{Y}\right)$ ?

Theorem (Aron-Dimant-GL-Maestre, 2023)
Let $X \subset Y$. If there is an isometric extension operator $s: X^{*} \rightarrow Y^{*}$ and $X$ is symmetrically regular, then $\mathcal{G}_{0}\left(B_{X}\right) \subset \mathcal{G}_{0}\left(B_{Y}\right)$

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& \Leftrightarrow \quad X \text { is locally 1-complemented in } Y
\end{aligned}
$$

This is the case, for instance, if $Y=X^{* *}$ (then $s: X^{*} \rightarrow X^{* * *}$ is just the inclusion map).

## The Aron-Berner extension

Let $P: X \rightarrow \mathbb{C}$ be an $n$-homogeneous polynomial. Then $P(x)=A(x, \ldots, x)$ for a multilinear symmetric map $A: X \times \cdots \times X \rightarrow \mathbb{C}$. Define

$$
\bar{A}\left(x_{1}^{* *}, \ldots x_{n}^{* *}\right)=\lim _{\alpha_{1}} \cdots \lim _{\alpha_{n}} A\left(x_{\alpha_{1}}, \ldots x_{\alpha_{n}}\right)
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The Aron-Berner extension of $P$ is $\tilde{P}\left(x^{* *}\right):=\bar{A}\left(x^{* *}, \ldots, x^{* *}\right)$. Now, given $f \in \mathcal{H}^{\infty}\left(B_{X}\right)$, we can define $\tilde{f} \in \mathcal{H}^{\infty}\left(B_{X * *}\right)$ extending $f$.

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$P(x)=A(x, \ldots, x)$ for a multilinear symmetric map $A: X \times \cdots \times X \rightarrow \mathbb{C}$. Define

$$
\bar{A}\left(x_{1}^{* *}, \ldots x_{n}^{* *}\right)=\lim _{\alpha_{1}} \cdots \lim _{\alpha_{n}} A\left(x_{\alpha_{1}}, \ldots x_{\alpha_{n}}\right)
$$

where $x_{\alpha_{i}} \xrightarrow{w^{*}} x_{i}^{* *}$.
The Aron-Berner extension of $P$ is $\tilde{P}\left(x^{* *}\right):=\bar{A}\left(x^{* *}, \ldots, x^{* *}\right)$. Now, given $f \in \mathcal{H}^{\infty}\left(B_{X}\right)$, we can define $\tilde{f} \in \mathcal{H}^{\infty}\left(B_{X * *}\right)$ extending $f$. A similar argument works for the vector-valued case and

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A B: \mathcal{H}^{\infty}\left(B_{X}, Y\right) & \rightarrow \mathcal{H}^{\infty}\left(B_{X^{* *}}, Y^{* *}\right) \\
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is an isometry (Davie-Gamelin, 1989).

## Symmetric regularity

$X$ is Arens regular if

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- (Leung, 1996) There is a symmetrically regular space that is not Arens regular.


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We also get that if $X$ and $Y$ are symmetrically regular and $X^{*} \equiv Y^{*}$, then $\mathcal{H} L_{0}\left(B_{X}\right) \equiv \mathcal{H} L_{0}\left(B_{Y}\right)$. This is based on a result by Lassalle-Zalduendo, 2000.

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Assume $X$ is symmetrically regular and $X^{* *}$ has MAP. Then $T_{\Theta}$ is an isometry that embeds $\mathcal{G}_{0}\left(B_{X * *}\right)$ as a locally 1-complemented in $\mathcal{G}_{0}\left(B_{X}\right)^{* *}$.

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Assume $X^{* *}$ has BAP. Is $\mathcal{H}^{\infty}\left(B_{X * *}\right) \stackrel{c}{\hookrightarrow} \mathcal{H}^{\infty}\left(B_{X}\right)^{* *}$ ?

## Unique norm-preserving extensions

## Lemma (Godefroy, 1981)

Let $x \in S_{X *}$. TFAE:
(i) $x^{*}$ has a unique norm preserving extension to a functional on $X^{* *}$.
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Assume $X^{* *}$ has the MAP. For $P \in \mathcal{P}\left({ }^{n} X\right)$ with $\|P\|=1$, TFAE:
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Theorem (Aron-Dimant-GL-Maestre, 2023)
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Thank you for your attention!

