

# Holomorphic Lipschitz functions and composition operators

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Joint works with R. Aron, V. Dimant, J. Guerrero-Viu,  
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Composition operators and Banach spaces theory  
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- R. Aron, V. Dimant, L.C. García-Lirola, M. Maestre. Linearization of holomorphic Lipschitz functions. *Math. Nachr.* 297 (2024), no. 8, 3024–3051.
- V. Dimant, L.C. García-Lirola, J. Guerrero-Viu, A. Procházka. Composition operators for holomorphic Lipschitz functions. Preprint

# Outline

- 1) Lipschitz free space
- 2) Holomorphic free space
- 3) Holomorphic Lipschitz free space
- 4) Multiplicative operators
- 5) Compactness of composition operators
- 6) Iterates of composition operators

## Lipschitz-free spaces

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Consider

$$\delta: M \rightarrow \text{Lip}_0(M)^*$$

$$x \mapsto \delta(x) : \langle f, \delta(x) \rangle = f(x)$$

The **Lipschitz-free space** (Kadec (1985), Pestov (1986), Godefroy-Kalton (2003))  $\mathcal{F}(M)$  (a.k.a. *Arens-Eells space*, *transportation cost space*) is defined as

$$\mathcal{F}(M) = \overline{\text{span}}\{\delta(x) : x \in M\} \subset \text{Lip}_0(M)^*$$

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*The space  $\mathcal{F}(M)$  satisfies the linearization properties in the diagrams:*

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Theorem (Godefroy-Kalton, 2003)

Let  $X, Y$  be real Banach spaces, with  $X$  separable. Assume there is a (non-linear) isometry  $f: X \rightarrow Y$ . Then there exists a linear isometry  $T: X \rightarrow Y$ . Also, the result does not hold for non-separable  $X$ .



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There is a recent survey by [García Sánchez - De Hevia - Tradacete](#).

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is an into isometry. Moreover,  $\Phi$  is onto if and only if  $X = \mathbb{C}$ .

- Thus,  $\mathcal{HL}_0(\mathbb{D}) \cong \mathcal{H}^\infty(\mathbb{D})$  isometrically.

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( $\mathcal{F}(B_X)$  = complex Lipschitz-free space, see [Abbar-Coine-Petitjean](#))

## Approximation properties

- $X$  has the *Approximation Property* (AP) if the identity  $I: X \rightarrow X$  can be approximated by finite-rank operators uniformly on compact sets.
- If the operators can be taken with norm  $\leq \lambda$  then  $X$  has the  $\lambda$ -*Bounded Approximation Property* ( $\lambda$ -BAP).
- If  $\lambda = 1$  then  $X$  has the *Metric Approximation Property* (MAP).



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## Composition operators

Taking adjoints in the square diagram

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There are composition operators with arbitrarily large norm: just take  $\phi(y) = y^*(y)^n x$ . Then  $\phi(B_Y) \subset B_X$  and  $\|\phi\|_L = n$ .

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A key fact is that the homogeneous polynomials on  $X$  separate points in  $\mathcal{G}_0(B_X)$ . If  $X$  has BAP, then it suffices to consider finite-type polynomials.

## Multiplicative operators

### Theorem (Dimant-G.L.-GuerreroViu-Procházka)

Assume  $X$  has the BAP. Let  $A: \mathcal{HL}_0(B_X) \rightarrow \mathcal{HL}_0(B_Y)$  be a multiplicative linear map (i.e. an algebra homomorphism). TFAE:

- i)  $A$  is a composition operator.
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For Lipschitz spaces, there is a related characterization of *normal* multiplicative linear maps (Shebert (1963), Weaver (1999)).

If  $C_\phi: \mathcal{HL}_0(B_X) \rightarrow \mathcal{HL}_0(B_Y)$  is an onto isomorphism and  $X$  has BAP, then  $\phi$  is the restriction of a linear isometry.

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Proof of  $ii) \Rightarrow i)$ . Assume that  $A = T^*$ ,  $T: \mathcal{G}_0(B_Y) \rightarrow \mathcal{G}_0(B_X)$ .

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- By the maximum modulus theorem,  $\phi(B_Y) \subset B_X$ .

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Theorem (Kamowitz-Scheinberg (1990), JiménezVargas - VillegasVallecillos (2013), Abbar-Coine-Petitjean (2023))

Let  $\phi: N \rightarrow M$  be a Lipschitz map with  $\phi(0) = 0$ . TFAE:

- i)  $C_\phi: \text{Lip}_0(M) \rightarrow \text{Lip}_0(N)$  is compact.
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Theorem (Aron-Galindo-Lindström (1997))

Let  $\phi \in \mathcal{H}^\infty(B_Y, X)$  such that  $\phi(B_Y) \subset B_X$ . TFAE

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- iii)  $\|\phi\|_\infty < 1$  and  $\phi(B_Y)$  is relatively compact.

## The scalar case

Let  $\phi \in \mathcal{HL}_0(\mathbb{D})$  such that  $\phi(\mathbb{D}) \subseteq \mathbb{D}$ . Shapiro (1987) proved that if  $C_\phi: \mathcal{HL}_0(\mathbb{D}) \rightarrow \mathcal{HL}_0(\mathbb{D})$  is compact, then  $\|\phi\|_\infty < 1$ .

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Indeed, assume that  $\|\phi\|_\infty = 1$  and  $\phi$  is not a rotation. Then there is  $(y_n) \subset \mathbb{D}$  such that  $|y_n| \rightarrow 1$ ,  $|\phi(y_n)| \rightarrow 1$  and  $|\phi'(y_n)| > 1$  (Denjoy-Wolff).

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$$\begin{array}{ccccc}
 \mathcal{HL}_0(\mathbb{D}) & \xrightarrow{C_\phi} & & \mathcal{HL}_0(\mathbb{D}) & \\
 d \downarrow & & & & \downarrow d \\
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 \uparrow T & \searrow S_{(\phi(y_n))_n} & \downarrow S_{(y_n)_n} & & \downarrow S_{(y_n)_n} \\
 l_\infty & \xrightarrow{Id} & l_\infty & \xleftarrow{M_{(\phi'(y_n))^{-1}}} & l_\infty
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  - v)  $C_\phi: \mathcal{H}^\infty(\mathbb{D}) \rightarrow \mathcal{H}^\infty(\mathbb{D})$  is compact.
- iii)  $\Rightarrow$  ii) follows also from a result of Bourgain for  $T: \mathcal{H}^\infty(\mathbb{D}) \rightarrow Y$ .

## The vector-valued case

Consider  $\delta: B_Y \rightarrow \mathcal{G}_0(B_Y)$ . For  $f \in \mathcal{H}L_0(B_Y)$ , we have

$$L(f) = \sup_{y_1 \in B_Y, y_2 \in S_Y} |df(y_1)(y_2)| = \sup_{y_1 \in B_Y, y_2 \in S_Y} |\langle f, d\delta(y_1)(y_2) \rangle|$$

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This leads to:

Assume that  $\overline{\phi(B_Y)} \subseteq B_X$ . Then:

- a)  $C_\phi: \mathcal{HL}_0(B_X) \rightarrow \mathcal{HL}_0(B_Y)$  is compact if and only if  $\phi(B_Y)$  and  $d\phi(B_Y)(S_Y)$  are relatively compact.

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Let  $X = \ell_2$  and  $\phi: X \rightarrow X$  be given by  $\phi(x) = (\frac{c}{n}x_n^n)$  with  $c > 0$  small enough. Then  $\phi(B_X)$  is relatively compact but  $d\phi(B_X)(S_X)$  is only relatively weakly compact.

Thus  $C_\phi: \mathcal{H}L_0(B_X) \rightarrow \mathcal{H}L_0(B_X)$  is weakly compact but not compact.

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In some cases, we can get rid of the hypothesis  $\overline{\phi(B_Y)} \subseteq B_X$ .

We obtain that for  $\phi: Y \rightarrow X$  **linear operator** with  $\|\phi\| \leq 1$ , TFAE:

- $C_\phi: \mathcal{HL}_0(B_X) \rightarrow \mathcal{HL}_0(B_Y)$  is compact.
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Let  $\phi: \ell_2 \rightarrow \ell_2$  be given by  $\phi(x) = x/2$ . Then  $\phi$  is a weakly compact operator but  $C_\phi$  fixes the subspace

$$Z = \{f_a(x) = \sum_{n=1}^{\infty} a_n x_n^2 : a \in \ell_\infty\} \subset \mathcal{HL}_0(B_{\ell_2})$$

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Let  $X = T^*$  be the original Tsirelson space and  $\phi(x) = x/2$ . Then  $C_\phi: \mathcal{HL}_0(B_X) \rightarrow \mathcal{HL}_0(B_X)$  is weakly compact but not compact.

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The key point is that every continuous polynomial  $P: X \rightarrow X^*$  is weakly uniformly continuous on  $B_X$  ([Gonzalo-Jaramillo](#)). Thanks to that, we can show that  $C_\phi: \mathcal{H}^\infty(B_X, X^*) \rightarrow \mathcal{H}^\infty(B_X, X^*)$  is weakly compact.

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We have the commutative diagram:

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### Theorem (Dimant-G.L.-GuerreroViu-Procházka)

Let  $\phi \in \mathcal{HL}_0(B_Y, X)$  such that  $\phi(B_Y) \subseteq B_X$ .

Assume  $X$  is reflexive and  $Y$  is finite-dimensional. TFAE:

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These iterates always have norm 1 when acting on  $\mathcal{H}^\infty(B_X)$ . However,

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The following are equivalent:

- i) There is some  $n \in \mathbb{N}$  such that  $\|\phi^{(n)}\|_\infty < 1$ .
- ii)  $\lim_{n \rightarrow \infty} \|\phi^{(n)}\|_L = 0$ .

If  $\dim(X) < \infty$ , this is also equivalent to:

- iii)  $\phi(C) \not\subseteq C$  for all  $\emptyset \neq C \subseteq S_X$ .



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Thank you for your attention!